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Theoretical highlights on neutrino-nucleus interactions: current challenges

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Introduction

Neutrino-nucleus interactions are important for:

- Oscillation experiments
 - ν detection, E_{ν} reconstruction, ν flux calibration
 - Electron-like backgrounds:

NC π° production (incoherent, coherent)

Photon emission in NC

- Hadronic physics
 - Nucleon and Nucleon-Resonance (N-△, N-N*) axial form factors
 - Strangeness content of the nucleon spin
- Nuclear physics
 - Information about: nuclear correlations, MEC, spectral functions
 - nuclear effects: essential for the interpretation of the data

Introduction

- Most relevant processes in the few-GeV region:
 - Quasielastic scattering
 - Single pion production (incoherent and coherent)

QE scattering

•
$$\nu$$
 detection: $\nu_{\mu} n \rightarrow \mu^{-} p$

E_{ν} reconstruction:

• Assumes that
$$E_{\nu} = \frac{2m_n E_{\mu} - m_{\mu}^2 - m_n^2 + m_p^2}{2(m_n - E_{\mu} + p_{\mu} \cos \theta_{\mu})}$$

Important for oscillations:
$$P(\nu_{\mu} \rightarrow \nu_{\tau}) = \sin^2 2\theta_{23} \sin^2 \frac{\Delta m_{23}^2 L}{2E_{\nu}}$$

• QE σ affect the expected sensitivities to oscillation parameters

- Example: Fernandez, Meloni, arXiv:1010.2329
- β beam hypothetical exp.: < E_{ν} > 0.3 GeV, ¹⁶O target
- Sensitivities to θ_{13} and δ_{CP} vary ~ 10-30 % depending on the nuclear CCQE model

• The (CC) elementary process: $\nu_{\mu}(k) n(p) \rightarrow \mu^{-}(k') p(p')$

$$\mathcal{M} = \frac{G_F \cos \theta_C}{\sqrt{2}} l^\alpha J_\alpha$$

where $l^{\alpha} = \bar{u}(k')\gamma^{\alpha}(1-\gamma_5)u(k)$

$$J_{\alpha} = \bar{u}(p') \left[\gamma_{\alpha} F_{1}^{V} + \frac{i}{2M} \sigma_{\alpha\beta} q^{\beta} F_{2}^{V} + \gamma_{\mu} \gamma_{5} F_{A} + \frac{q_{\mu}}{M} \gamma_{5} F_{P} \right] u(p)$$

■ Vector form factors: $F_{12}^V = F_{12}^p - F_{12}^n$ extracted from e-p, e-d data

Axial form factors:

$$\begin{array}{l} F_{A}(Q^{2}) = g_{A} \left(1 + \frac{Q^{2}}{M_{A}^{2}} \right)^{-2} \ , \ F_{P}(Q^{2}) = \frac{2M^{2}}{Q^{2} + m_{\pi}^{2}} F_{A}(Q^{2}) \\ \\ \text{dipole ansatz} \end{array}$$

The (CC) elementary process: $\nu_{\mu}(k) n(p) \rightarrow \mu^{-}(k') p(p')$

$$F_A(Q^2) = g_A \left(1 + \frac{Q^2}{M_A^2}\right)^{-2}$$

 $\blacksquare g_A = 1.267 \leftarrow \beta \text{ decay}$

■ M_A = 1.016 ± 0.026 GeV (νd , $\bar{\nu} p$) Bodek et al., EPJC 53 (2008)

- M_A from π electroproduction on p:
 - Connected to F_A at threshold and in the chiral limit ($m_{\pi} = 0$)
 - \blacksquare Using models to connect with data \Rightarrow
 - M_Aep= 1.069 ± 0.016 GeV Liesenfeld et al., PLB 468 (1999) 20
 - A more careful evaluation in ChPT Bernard et al., PRL 69 (1992) 1877

$$\langle r_A^2 \rangle_{\boldsymbol{e}} = \langle r_A^2 \rangle_{\boldsymbol{\nu}} + \frac{3}{64f_{\pi}} \left(1 - \frac{12}{\pi^2} \right) \,, \langle r_A^2 \rangle = \frac{12}{M_A^2}$$

$$\blacksquare M_{A} = M_{A}^{ep} - \varDelta M_{A}, \ \varDelta M_{A} = 0.055 \text{ GeV} \implies M_{A} = 1.014 \text{ GeV}$$

- Relativistic Global Fermi Gas Smith, Moniz, NPB 43 (1972) 605
 - Impulse Approximation
 - Fermi motion $f(\vec{r}, \vec{p}) = \Theta(p_F |\vec{p}|)$
 - Pauli blocking $P_{\text{Pauli}} = 1 \Theta(p_F |\vec{p}|)$
 - Average binding energy $E = \sqrt{\vec{p}^2 + m_N^2} \epsilon_B$
 - Explains the main features of the (e,e') inclusive σ in the QE region
 - **Fails** in the details (nuclear dynamics needed)

Spectral functions of nucleons in nuclei

The nucleon propagator can be cast as

Benhar et al., PRD 72 (2005) Ankowski, Sobczyk, PRC 67 (2008) Nieves et al., PRC 70 (2004) Leitner et al., PRC 79 (2009)

$$G(p) = \int d\omega \frac{S_h(\omega, \vec{p})}{p^0 - \omega - i\epsilon} + \int d\omega \frac{S_p(\omega, \vec{p})}{p^0 - \omega + i\epsilon}$$

S_{h(p)} ← hole (particle) spectral functions: 4-momentum (p) distributions of the struck (outgoing) nucleons

$$S_{p,h}(p) = -\frac{1}{\pi} \frac{\mathrm{Im}\Sigma(p)}{[p^2 - M^2 - \mathrm{Re}\Sigma(p)]^2 + [\mathrm{Im}\Sigma(p)]^2}$$

 $\blacksquare \Sigma \leftarrow \text{nucleon selfenergy}$

Better description of (e,e') inclusive σ

Relativistic mean field

Impulse Approximation

Martinez et al., PRC 73 (2006) Budkevich, Kulagin, PRC 76 (2007)

- Initial nucleon in a bound state (shell)
 - $\blacksquare \Psi_i$: Dirac eq. in a mean field potential (ω - σ model)
- Final nucleon

PWIA

RDWIA: Ψ_f : Dirac eq. for scattering state

- Glauber
- Problem: nucleon absorption that reduces the c.s.
- Can be used to study:
 - IN knockout
 - inclusive processes: with only Re[V_{opt}]

Complex optical potential





- Space-momentum correlations absent in the GFG
- Reasonable for medium/heavy nuclei
- Microscopic many-body effects are tractable
 - (calculations in infinite nuclear matter)



■ Incorporates N-hole and △-hole states

- **V**: π , ρ exchange, Landau-Migdal parameter g'
- Describes correctly μ capture on ¹²C and LSND CCQE
- **Collective effect:** important at low Q^2 for νQE

Comparison to MiniBooNE σ

CCQE on ${}^{12}C$ 8 7 6 ہ [x 10⁻³⁸ cm²] 5 5 Ankowski, SF Athar, LFG+RPA 3 Benhar, SF GiBUU 2 Madrid, RMF Martini, LFG+RPA Nieves, LFG+SF+RPA 1 0 0.8 1.2 0 0.2 0.4 1.4 1.8 0.6 1.6 E, [GeV]

Source: Boyd et al., AIP Conf. Proc. 1189 Data: MiniBooNE, PRD 81, 092005 (2009)

■ At $E\nu = 0.8$ GeV: $\sigma_{th} \sim 4.5-5 < \sigma_{MB} \sim 7 \times 10^{-38}$ cm² ■ CCQE models with M_A~1 GeV cannot reproduce MiniBooNE σ

Comparison to MiniBooNE σ



Source: Boyd et al., AIP Conf. Proc. 1189 Data: MiniBooNE, PRD 81, 092005 (2009)

- Background (CCQE-like) depends on the π propagation (absorption and charge exchange) model (NUANCE)
- **E**_{ν} reconstruction (unfolding)

• Comparison to MiniBooNE σ



E_v reconstruction (unfolding): good if the CCQE-like background is properly subtracted

- Comparison to MiniBooNE σ
 - Proposed solutions:
 - M_A=1.35 ± 0.17 GeV (RFG) MiniBooNE, PRD 81, 092005 (2010)



■ However, M_A>1 GeV is incompatible with:

- $\blacksquare \nu d \,, \bar{\nu} p \, \, \mathrm{data}$
- **a** π electroproduction on p (at low Q²)
- **NOMAD:** $M_A = 1.05 \pm 0.02(stat) \pm 0.06(sys)$ GeV Lyubushkin et al., EPJ C63

- Comparison to MiniBooNE σ
 - Proposed solutions:
 - M_A=1.37 GeV (RDWIA) Butkevich, arXiv:1006.1595
 - Fit to $d\sigma/dQ^2$ (shape only)



- Comparison to MiniBooNE σ
 - Proposed solutions:

■ M_A=1.37 GeV (RDWIA) Butkevich, arXiv:1006.1595



- Comparison to MiniBooNE σ
 - Proposed solutions:
 - M_A=1.6 GeV (Spectral Function) Benhar, Coletti, Meloni, PRL 105 (2010)
 - Fit to $d\sigma/dQ^2$



- Comparison to MiniBooNE σ
 - Proposed solutions:
 - M_A=1.343 ± 0.060 GeV (Spectral Function) Juszczak, Sobczyk, Zmuda, arXiv:1007.2195
 - Fit to double differential c. s. including flux uncertainty
 - Momentum transfer cut q_{cut} = 500 MeV to exclude IA breakdown region: insufficient to reconcile MiniBooNE with exp. on deuterium



Comparison to MiniBooNE σ

Many body RPA Martini et al., PRC 80 (2009), 81 (2010)



Comparison to MiniBooNE σ

Many body RPA Martini et al., PRC 80 (2009), 81 (2010)



RPA: small reduction
 Large 2p-2h contribution to v¹²C mainly from (2),(3),(3')
 MEC absent (but important for (e,e') in the dip region)



Comparison to MiniBooNE σ

Many body RPA Martini et al., PRC 80 (2009), 81 (2010)



Reactions

- Incoherent: $\nu_l A \to l \pi X$
- Coherent:

$$\blacksquare \operatorname{CC} \nu_l A \to l^- \pi^+ A$$
$$\blacksquare \operatorname{NC} \nu A \to \nu \pi^0 A$$

- Relevant for oscillations
 - **I** NC π° : e-like background to $\nu_{\mu} \rightarrow \nu_{e}$ searches ($\theta_{13} \& \delta \leftrightarrow CP$ violation)

Source of CCQE-like events (in nuclei), needs to be subtracted for a good E_v reconstruction



good E_{ν} reconstruction

Elementary process: $\nu_l \ N
ightarrow l \ \pi \ N'$

Dominated by resonance production

■ At E_ν ~ 1 GeV: △(1232)

$$\mathcal{M} = \frac{G_F \cos \theta_C}{\sqrt{2}} l^{\alpha} J_{\alpha}$$

■ N-△ transition current:



$$J^{\mu} = \bar{\psi}_{\mu} \left[\left(\frac{C_{3}^{V}}{M} (g^{\beta\mu} \not{\!\!\!}_{d} - q^{\beta} \gamma^{\mu}) + \frac{C_{4}^{V}}{M^{2}} (g^{\beta\mu} q \cdot p' - q^{\beta} p'^{\mu}) + \frac{C_{5}^{V}}{M^{2}} (g^{\beta\mu} q \cdot p - q^{\beta} p^{\mu}) \right) \gamma_{5} \right] + \frac{C_{3}^{A}}{M} (g^{\beta\mu} \not{\!\!\!}_{d} - q^{\beta} \gamma^{\mu}) + \frac{C_{4}^{A}}{M^{2}} (g^{\beta\mu} q \cdot p' - q^{\beta} p'^{\mu}) + C_{5}^{A} g^{\beta\mu} + \frac{C_{6}^{A}}{M^{2}} q^{\beta} q^{\mu} \right] u$$

Form factors \Leftrightarrow Helicity amplitudes (A_{1/2}, A_{3/2}, S_{1/2})

- Elementary process: $u_l \ N
 ightarrow l \ \pi \ N'$
 - Dominated by resonance production
 - Rein-Sehgal model: Rein-Sehgal, Ann. Phys. 133 (1981) 79.
 - Used by almost all MC generators
 - Relativistic quark model of Feynman-Kislinger-Ravndal with SU(6) spin-

flavor symmetry

- Helicity amplitudes for 18 baryon resonances
- Lepton mass = 0
 - Corrections: Kuzmin et al., Mod. Phys. Lett. A19 (2004)
 Berger, Sehgal, PRD 76 (2007)
 Graczyk, Sobczyk, PRD 77 (2008)
- **Poor description** of π electroproduction data on p

Elementary process: $u_l \ N
ightarrow l \ \pi \ N'$





■ N-△ transition current

$$J^{\mu} = \bar{\psi}_{\mu} \left[\left(\frac{C_{3}^{V}}{M} (g^{\beta\mu} \not{\!\!\!}_{d} - q^{\beta} \gamma^{\mu}) + \frac{C_{4}^{V}}{M^{2}} (g^{\beta\mu} q \cdot p' - q^{\beta} p'^{\mu}) + \frac{C_{5}^{V}}{M^{2}} (g^{\beta\mu} q \cdot p - q^{\beta} p^{\mu}) \right) \gamma_{5} \right. \\ \left. + \frac{C_{3}^{A}}{M} (g^{\beta\mu} \not{\!\!}_{d} - q^{\beta} \gamma^{\mu}) + \frac{C_{4}^{A}}{M^{2}} (g^{\beta\mu} q \cdot p' - q^{\beta} p'^{\mu}) + C_{5}^{A} g^{\beta\mu} + \frac{C_{6}^{A}}{M^{2}} q^{\beta} q^{\mu} \right] u$$

- Helicity amplitudes can be extracted from data on π photo- and electro-production
 - Unitary isobar model MAID Drechsel, Kamalov, Tiator, EPJA 34 (2007) 69
 - Uses world data
 - for all 4 star resonances with W<2 GeV
 - Unitary isobar model+Regge-pole BG at high energies I. Aznauryan, PRC67
 - Dispersion relations
 - CLAS (JLab) data
 - 1st and 2nd resonance regions: △(1232), N*(1440), N*(1520), N*(1535)



■ N-△ transition current

$$J^{\mu} = \bar{\psi}_{\mu} \left[\left(\frac{C_{3}^{V}}{M} (g^{\beta\mu} \not{q} - q^{\beta} \gamma^{\mu}) + \frac{C_{4}^{V}}{M^{2}} (g^{\beta\mu} q \cdot p' - q^{\beta} p'^{\mu}) + \frac{C_{5}^{V}}{M^{2}} (g^{\beta\mu} q \cdot p - q^{\beta} p^{\mu}) \right) \gamma_{5} \right. \\ \left. + \frac{C_{3}^{A}}{M} (g^{\beta\mu} \not{q} - q^{\beta} \gamma^{\mu}) + \frac{C_{4}^{A}}{M^{2}} (g^{\beta\mu} q \cdot p' - q^{\beta} p'^{\mu}) + C_{5}^{A} g^{\beta\mu} + \frac{C_{6}^{A}}{M^{2}} q^{\beta} q^{\mu} \right] u$$

Axial form factors

$$C_6^A = C_5^A \, \frac{M^2}{m_\pi^2 + Q^2} \leftarrow \mathsf{PCAC}$$

$$C_4^A = -\frac{1}{4}C_5^A$$
 $C_3^A = 0 \leftarrow \text{Adler model}$

$$C_{5}^{A} = C_{5}^{A}(0) \left(1 + \frac{Q^{2}}{M_{A\Delta}^{2}}\right)^{-1}$$

■ N- Δ axial form factors: determination of C^A₅(0) and M_{A Δ}

 $\blacksquare C_5^A(0) = \frac{g_{\Delta N\pi} f_{\pi}}{\sqrt{6}M} \approx 1.2 \leftarrow \text{ off diagonal GT relation}$

From ANL and BNL data on $u_{\mu} \, d
ightarrow \mu^- \, \pi^+ \, p \, n$

with large normalization (flux) uncertainties

- Graczyk et al., PRD 80 (2009)
 - Deuteron effects

Non-resonant background absent

 \blacksquare C^A₅(0) =1.19 ± 0.08, M_{A \triangle} = 0.94 ± 0.03 GeV

Hernandez et al., PRD 81 (2010)

Deuteron effects

- Non-resonant background fixed by chiral symmetry
- $C^{A_{5}}(0) = 1.00 \pm 0.11 \text{ GeV}$, $M_{A \Delta} = 0.93 \pm 0.07 \text{ GeV}$
- 20 % reduction of the GT relation

- Elementary process
 - Sato & Lee model Sato, Uno, Lee, PRC 67 (2003)
 - Dynamical model for π production with γ , e, ν
 - Starting with an effective H: πN , $\Delta N \Rightarrow$
 - T-matrix obtained from coupled channel Lippman-Schwinger eq.
 - Good agreement with data



■ Bare △N renormalized by meson clouds (30 %): C^A₅(0) =0.96 GeV reconciles the empirical value with quark model results

- **Incoherent** 1π production in nuclei
 - Large number of excited states \Rightarrow semiclassical treatment
 - **\pi** propagation (scattering, charge exchange), absorption (FSI)
 - Most models cannot calculate this reaction channel.

Exceptions:

- MC generators: NUANCE, NEUT, GENIE, NuWro
- Cascade: Ahmad et al., PRD 74 (2006)
- Transport: GiBUU

- Incoherent 1π production in nuclei (FSI)
 - NuWro J. Sobczyk et al.
 - Intranuclear cascade
 - **\pi** propagation: empirical π -N vacuum σ
 - **absorption:** π -A absorption data
 - Ahmad et al., PRD 74 (2006)
 - Cascade (~NuWro)
 - In-medium modification of \triangle spectral f. (only in the production)

Gibuu

- Transport: one approach for eA, ν A, pA, π A reactions
- **\pi**, **N** but also Δ are propagated
- Main absorption mech.: \triangle N \rightarrow N N, π N N \rightarrow N N

Comparison to the $\sigma(CC\pi^+)/\sigma(CCQE-like)$ ratio at MiniBooNE



- Coherent pion production $CC \ \nu_l A \to l^- \pi^+ A$
 - $\blacksquare \operatorname{NC} \nu A \to \nu \pi^0 A$
 - Takes place at low q²
 - Very small cross section
 - \blacksquare At $q^2 \sim$ 0, axial current not suppressed



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E_v (GeV)

- Coherent pion production models
 - PCAC Rein & Sehgal NPB 223 (83) 29 Berger & Sehgal, PRD 76 (2007),79 (2009) Paschos & Schalla, PRD 80 (2009),
 - In the q²=0 limit, PCAC is used to relate ν induced coherent pion production to πA elastic scattering
 - Extrapolated to $q^2 \neq 0$
 - **R&S:** describe πA in terms of πN scattering
 - **B&S, P&S:** use πA data
 - Problems: Hernandez et al., PRD 80 (2009) 013003
 - q²=0 limit neglects important angular dependence at low energies
 - **R&S**: The π A elastic description is not realistic
 - **B&S,P&S: spurious** initial π distortion present in πA but not in $coh\pi$

- Coherent pion production models
 - PCAC Rein & Sehgal NPB 223 (83) 29 Berger & Sehgal, PRD 76 (2007),79 (2009) Paschos & Schalla, PRD 80 (2009),
 - In the q²=0 limit, PCAC is used to relate ν induced coherent pion production to πA elastic scattering
 - Extrapolated to $q^2 \neq 0$
 - **R&S:** describe πA in terms of πN scattering
 - **B&S, P&S:** use πA data
 - Problems of PCAC models: less relevant as the energy increases
 - NOMAD: σ =72.6 ± 8.1(stat) ± 6.9(syst) × 10⁻⁴⁰ cm²
 - Consistent with RS: $\sigma \approx 78 \times 10^{-40} \text{ cm}^2$



- Coherent pion production
 - Microscopic models: Singh et al., PRL 96 (2006)
 LAR et al, PRC 76 (2007)
 Amaro et al., PRD 79 (2009)
 Nakamura et al., PRC 81 (2010)
 - Δ excitation is dominant
 - $\blacksquare \Delta$ properties change in the nuclear medium
 - **\pi** distortion: **DWIA** with optical potential based on Δ -hole model
 - Treatment is consistent with incoherent π production
 - Valid only at low energies
 - $\bullet \sigma \sim \left[C_5^A(0) \right]^2$



Coherent pion production



Coherent pion production



- SciBooNE: PRD 81 (2010)
- **I**NC $\pi^{\circ} \sigma$ compatible with R&S
- $\square CC\pi^+/NC\pi^0=0.14^{+0.30}_{-0.28}$
- Theoretical models predict $CC\pi^+/NC\pi^0 \sim 1-2$!

Conclusions

- In spite of the exp. and th. effort basic νA interaction mechanisms (QE, 1π) are not understood
- Comparison to inclusive data is needed
- Look forward to new data and theoretical progress