

# Long-baseline physics analysis: Overview, status, future

Chris Marshall

Lawrence Berkeley National Laboratory

TIFR workshop

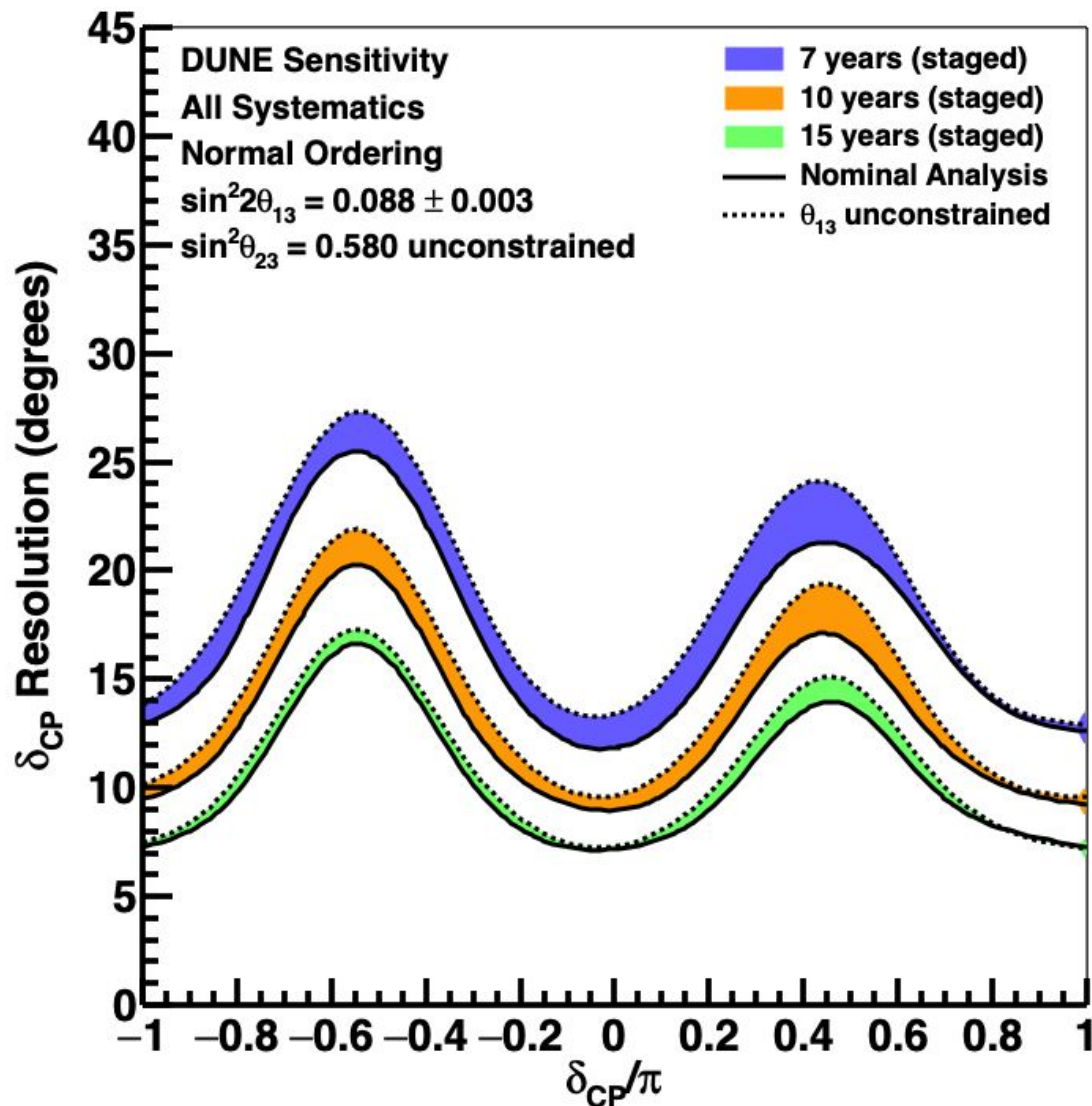
28 February, 2020



# Outline

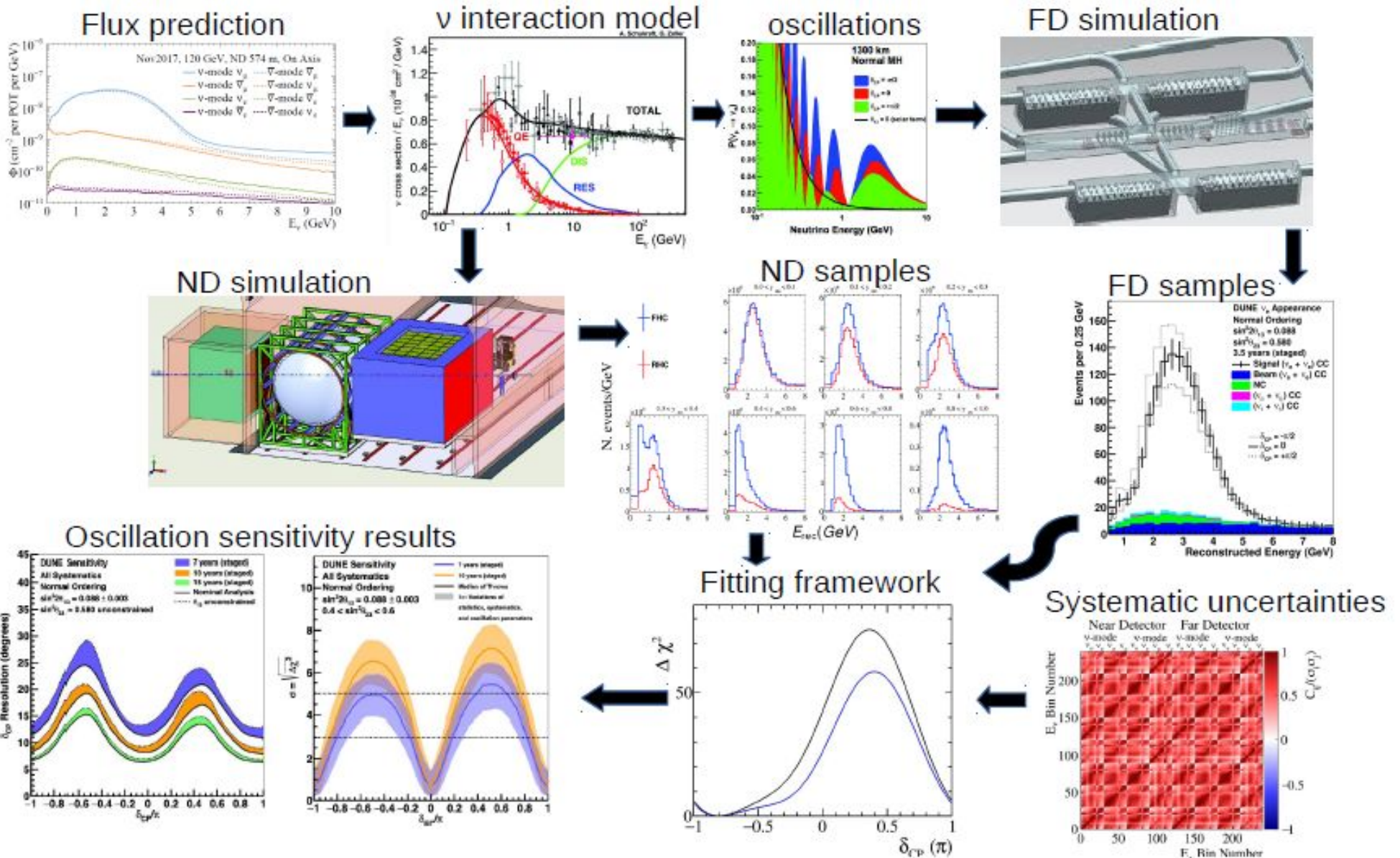
- Long-baseline oscillation fitting in DUNE
- Overview of analysis as implemented in FD TDR
- Challenges & limitations
- Looking to the future: new developments
- Opportunities for new groups
- Comments regarding computing

# The end result: sensitivities & parameter resolutions



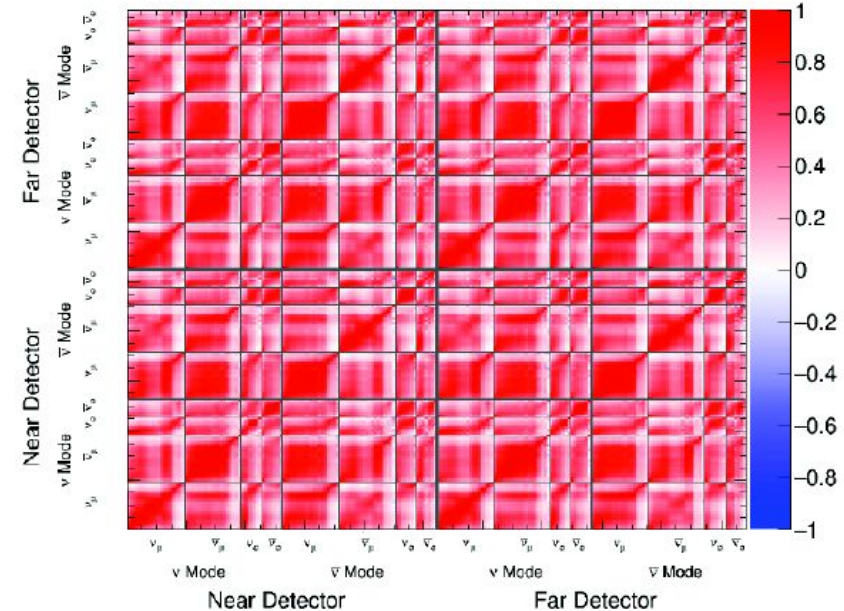
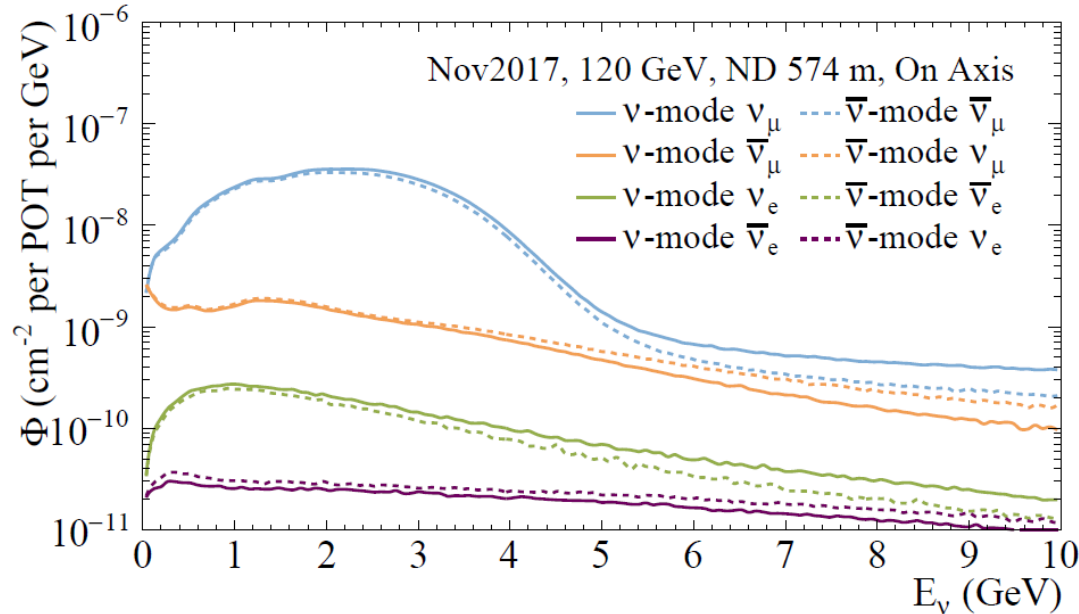
- For three different exposures, the resolution on  $\delta_{CP}$  as a function of its true value
- Band represents the impact of using the reactor  $\theta_{13}$  constraint as a prior, which improves our  $\delta_{CP}$  resolution, especially for shorter exposures

# How we get there



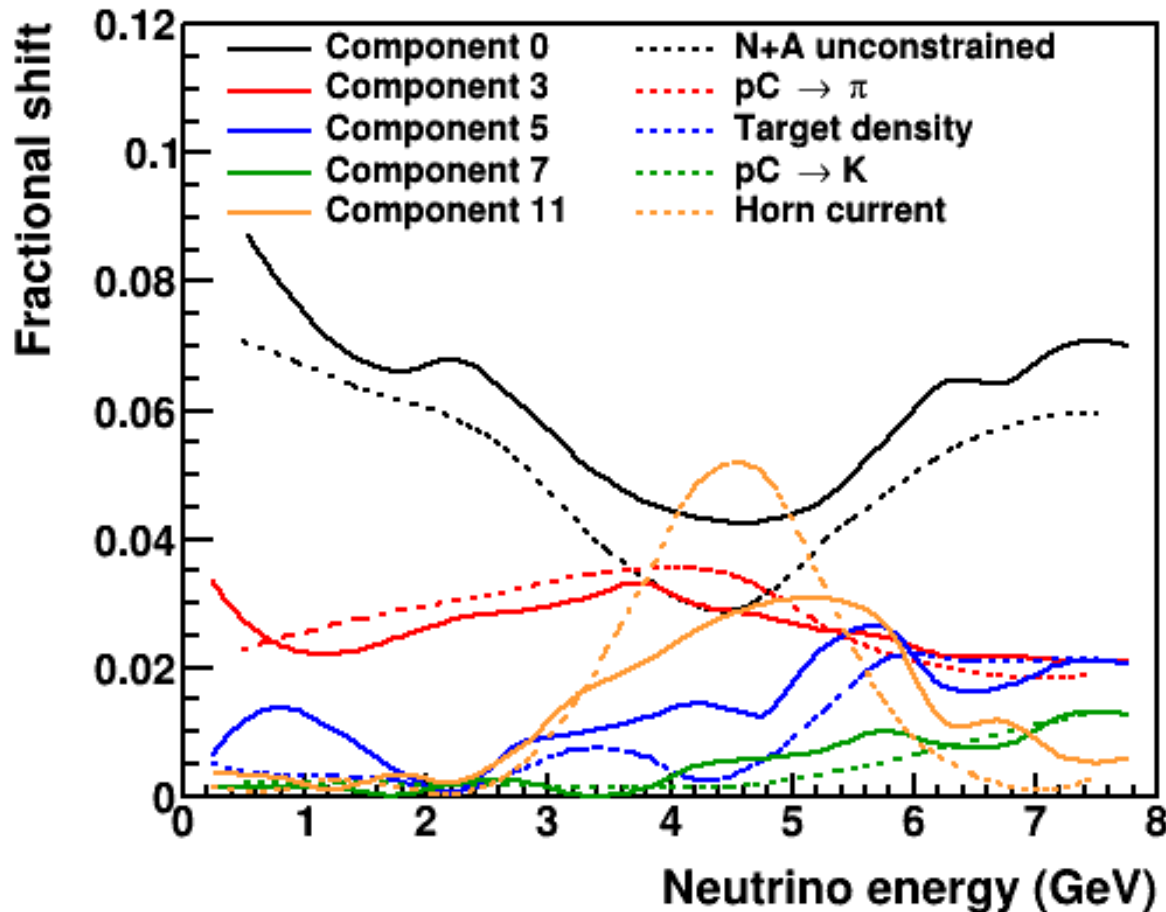


# Geant4-based flux prediction and full systematic uncertainties



- Simulation of meson production in proton-carbon interactions and full focusing system
- Meson production is tuned to external proton-carbon data, focusing uncertainties come from varying many systematic parameters in the model
- Full covariance matrix between energy bins of 4 neutrino species ( $\nu_\mu/\bar{\nu}_\mu/\nu_e/\bar{\nu}_e$ ), 2 beam modes (FHC/RHC), 2 detector locations (near/far)

# Principal components of covariance matrix are used in analysis



- 208x208 matrix with only  $\sim 20$  significant eigenvalues  $\rightarrow$  use principal components
- Largest components match up with some of the largest hadron production and focusing uncertainties

# Cross section uncertainties

MaCCQE  
VecFFCCQEshape  
CCQEPauliSupViaKF  
MaNCEL  
MaCCRES  
MvCCRES  
MaNCRES  
MvNCRES  
Theta\_Delta2Npi  
AhtBY  
BhtBY  
CV1uBY  
CV2uBY  
FrCEx\_pi  
FrElas\_pi  
FrInel\_pi  
FrAbs\_pi  
FrPiProd\_pi  
FrCEx\_N  
FrElas\_N  
FrInel\_N  
FrAbs\_N  
FrPiProd\_N

Mnv2p2hGaussEnhancement  
MKSPP\_ReWeight  
E2p2h\_A\_nu  
E2p2h\_B\_nu  
E2p2h\_A\_nubar  
E2p2h\_B\_nubar  
BeRPA\_A  
BeRPA\_B  
BeRPA\_D  
C12ToAr40\_2p2hScaling\_nu  
C12ToAr40\_2p2hScaling\_nubar  
nuenuebar\_xsec\_ratio  
nuenumu\_xsec\_ratio  
SPPLowQ2Suppression

NR\_nu\_n\_CC\_2Pi  
NR\_nu\_n\_CC\_3Pi  
NR\_nu\_p\_CC\_2Pi  
NR\_nu\_p\_CC\_3Pi  
NR\_nu\_np\_CC\_1Pi  
NR\_nu\_n\_NC\_1Pi  
NR\_nu\_n\_NC\_2Pi  
NR\_nu\_n\_NC\_3Pi  
NR\_nu\_p\_NC\_1Pi  
NR\_nu\_p\_NC\_2Pi  
NR\_nu\_p\_NC\_3Pi  
NR\_nubar\_n\_CC\_1Pi  
NR\_nubar\_n\_CC\_2Pi  
NR\_nubar\_n\_CC\_3Pi  
NR\_nubar\_p\_CC\_1Pi  
NR\_nubar\_p\_CC\_2Pi  
NR\_nubar\_p\_CC\_3Pi  
NR\_nubar\_n\_NC\_1Pi  
NR\_nubar\_n\_NC\_2Pi  
NR\_nubar\_n\_NC\_3Pi  
NR\_nubar\_p\_NC\_1Pi  
NR\_nubar\_p\_NC\_2Pi  
NR\_nubar\_p\_NC\_3Pi

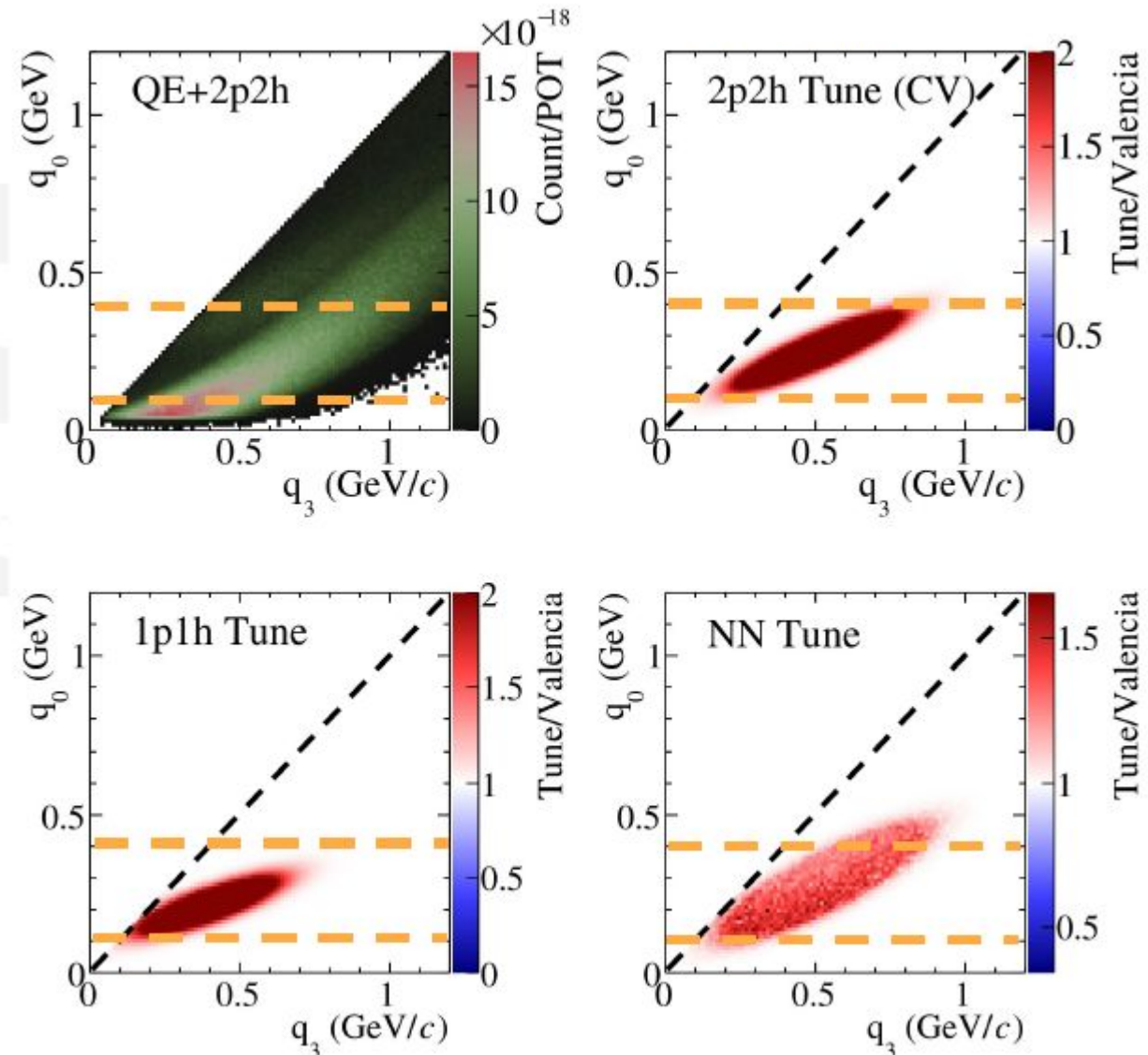
# Cross section uncertainties strategy

- Many reweightable uncertain parameters are implemented in GENIE, but these uncertainties are insufficient
- Add additional “knobs” based on a combination of data/generator comparisons, alternate theory models, etc.
- Critical to get this right, and lots of work to do → need additional effort in this area



# Example: uncertainty on “2-particle 2-hole” interactions

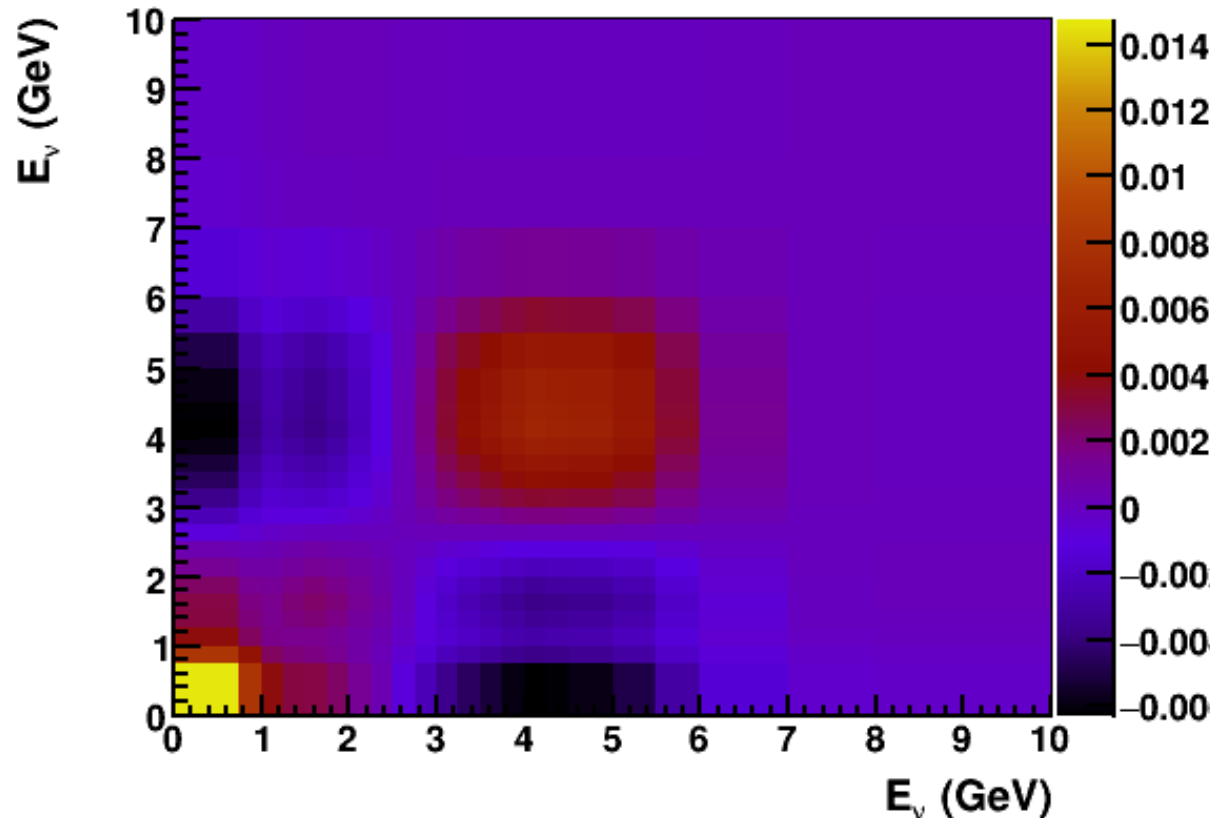
- MINERvA and NOvA see an enhancement in cross section that is consistent with multi-nucleon 2p2h scattering, i.e.  $\nu_{\mu}(np) \rightarrow \mu^{-}nn$
- MINERvA can fit in 4 different ways: as 1p1h, nn only, pp only, 2p2h
- Implemented parameter moves events between  $nn \rightarrow 2p2h \rightarrow 1p1h$



# Detector uncertainties

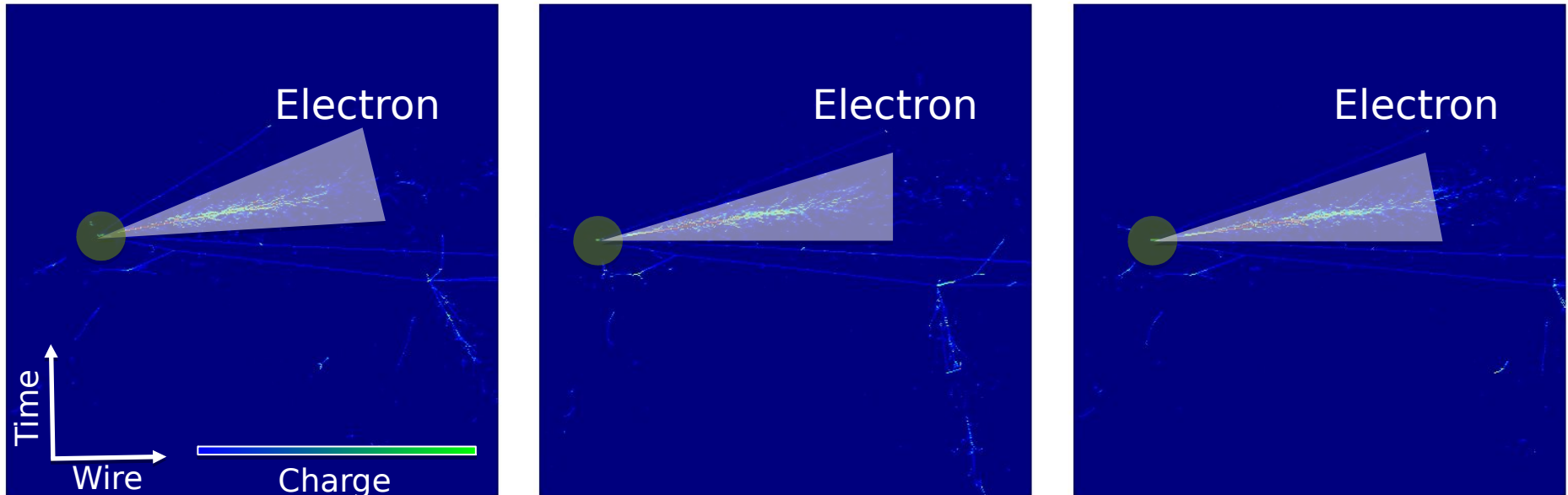
- We implement uncertainties on
  - Reconstructed energy scale
  - Reconstructed energy resolution
  - Detector acceptance corrections
  - NC background rejection
- Currently included only for FD and LAr ND – need to develop model for uncertainties in HPgTPC, SAND, correlations between detectors

# Near detector uncertainties are described by covariance matrix



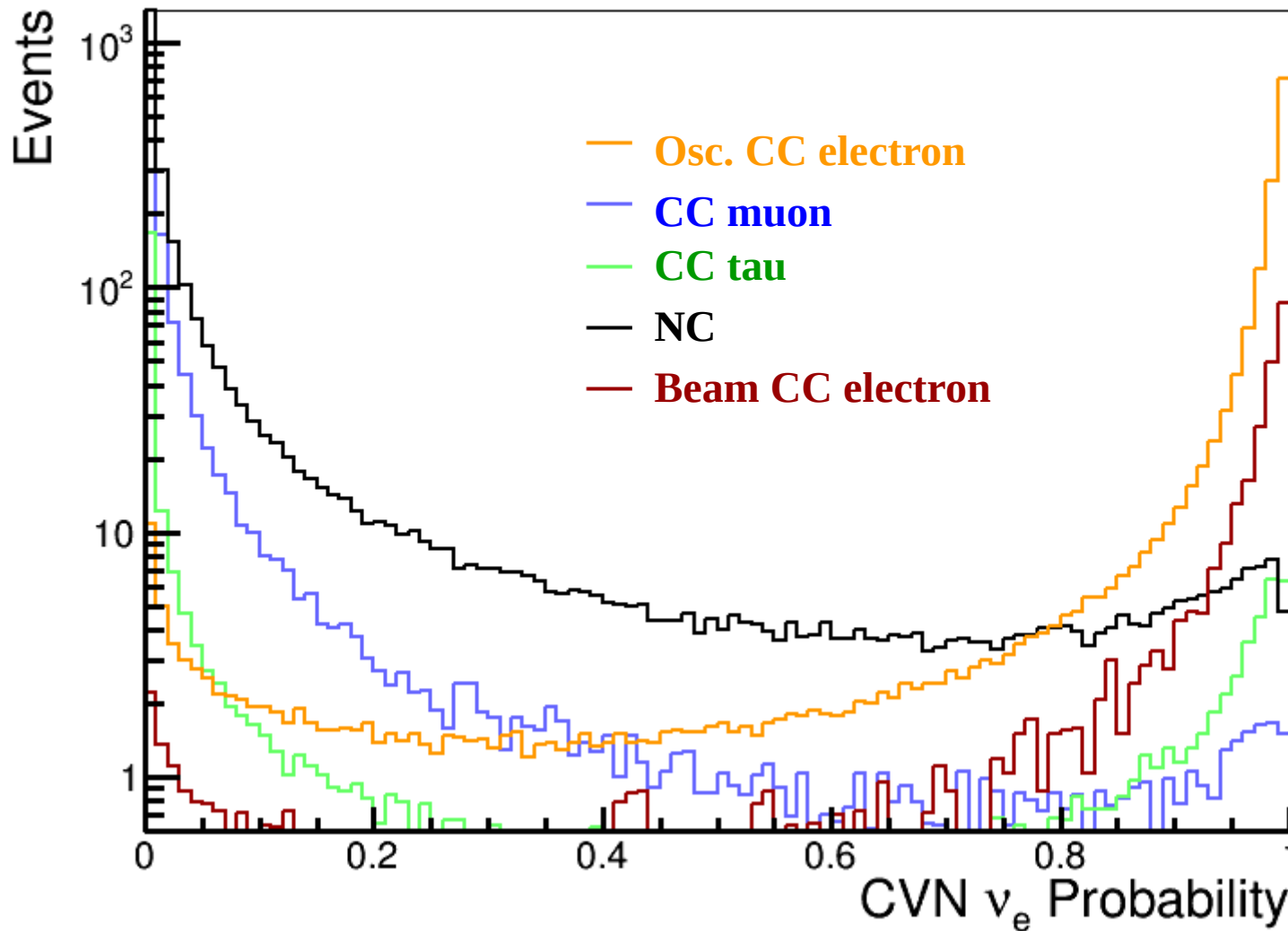
- FD uncertainties are implemented as nuisance parameters constrained in the fit
- This approach is difficult for ND because high statistics, lack of realism leads to overfitting
- Lots of work to be done in making ND model more realistic

# FD event selection with convolutional neural network



- CVN is trained on event images with known flavor
- Three wire readout planes in far detector → three 2-dimensional “images” of each interaction
- $\nu_e$  CC event shown, electron-induced shower highlighted

# Far detector event selection: FHC $\nu_e$ CVN probability

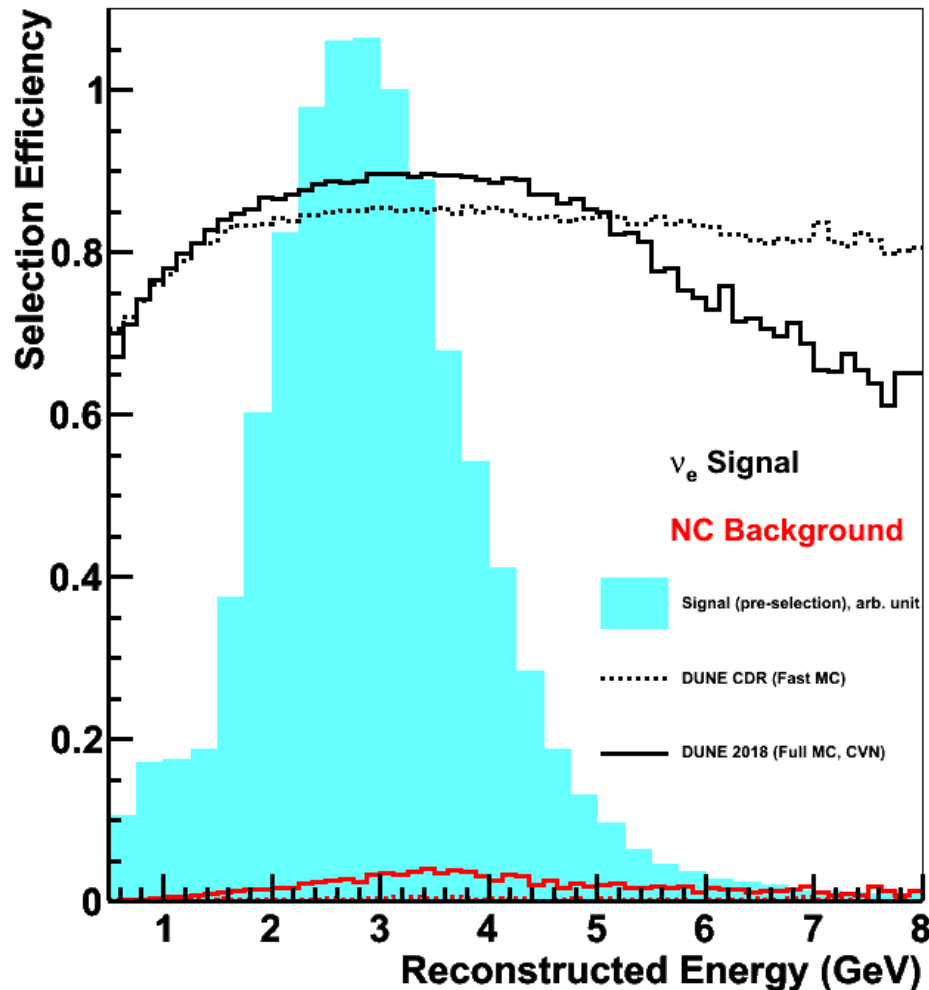


- FHC event probabilities from CVN
- Cut at 0.85 for this analysis
- Selects oscillated and intrinsic electrons



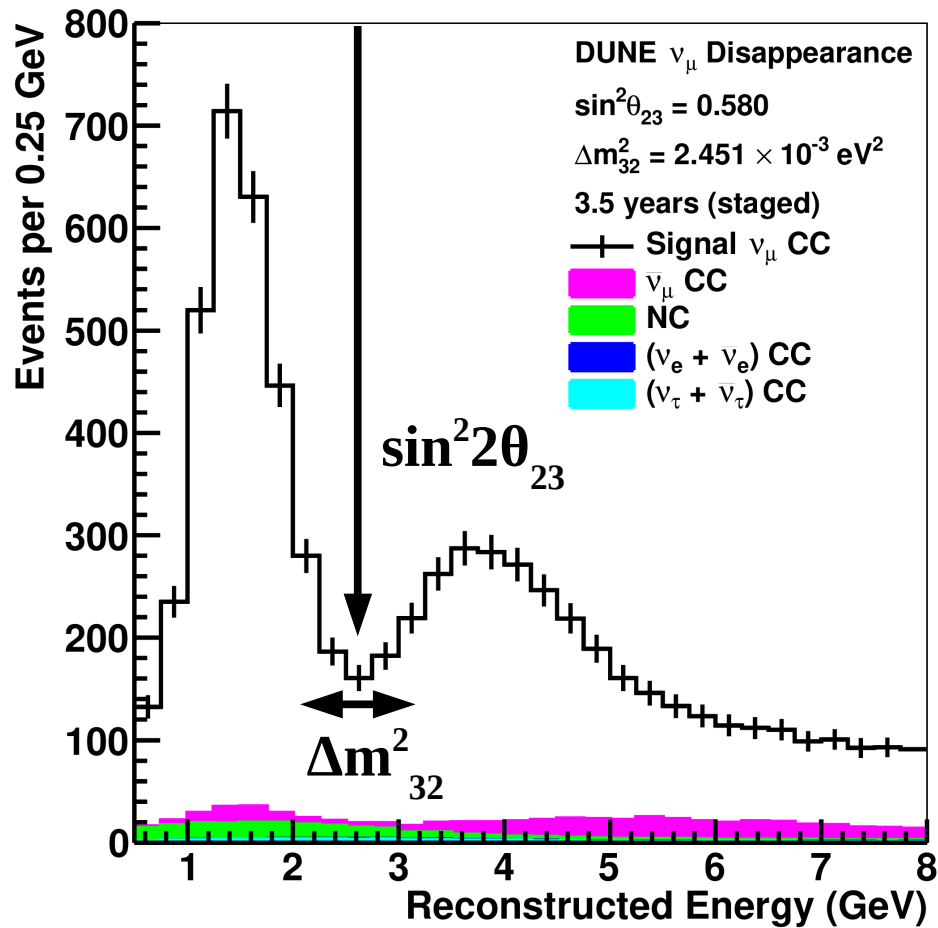
# Far detector $\nu_e$ selection efficiency

Appearance Efficiency (FHC)

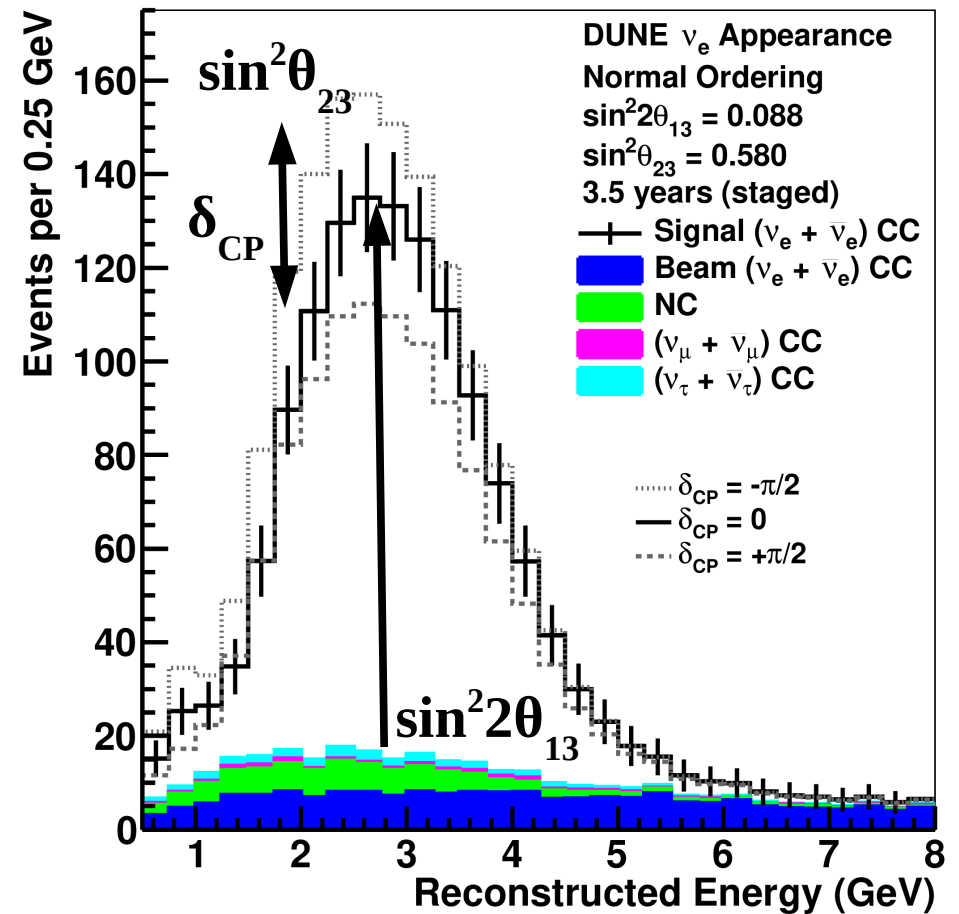


- Full MC with CVN event selection (solid curve) is comparable to fast MC from CDR (dashed curve)
- 85-90% efficient in the region where most events are expected

# FHC selected event samples

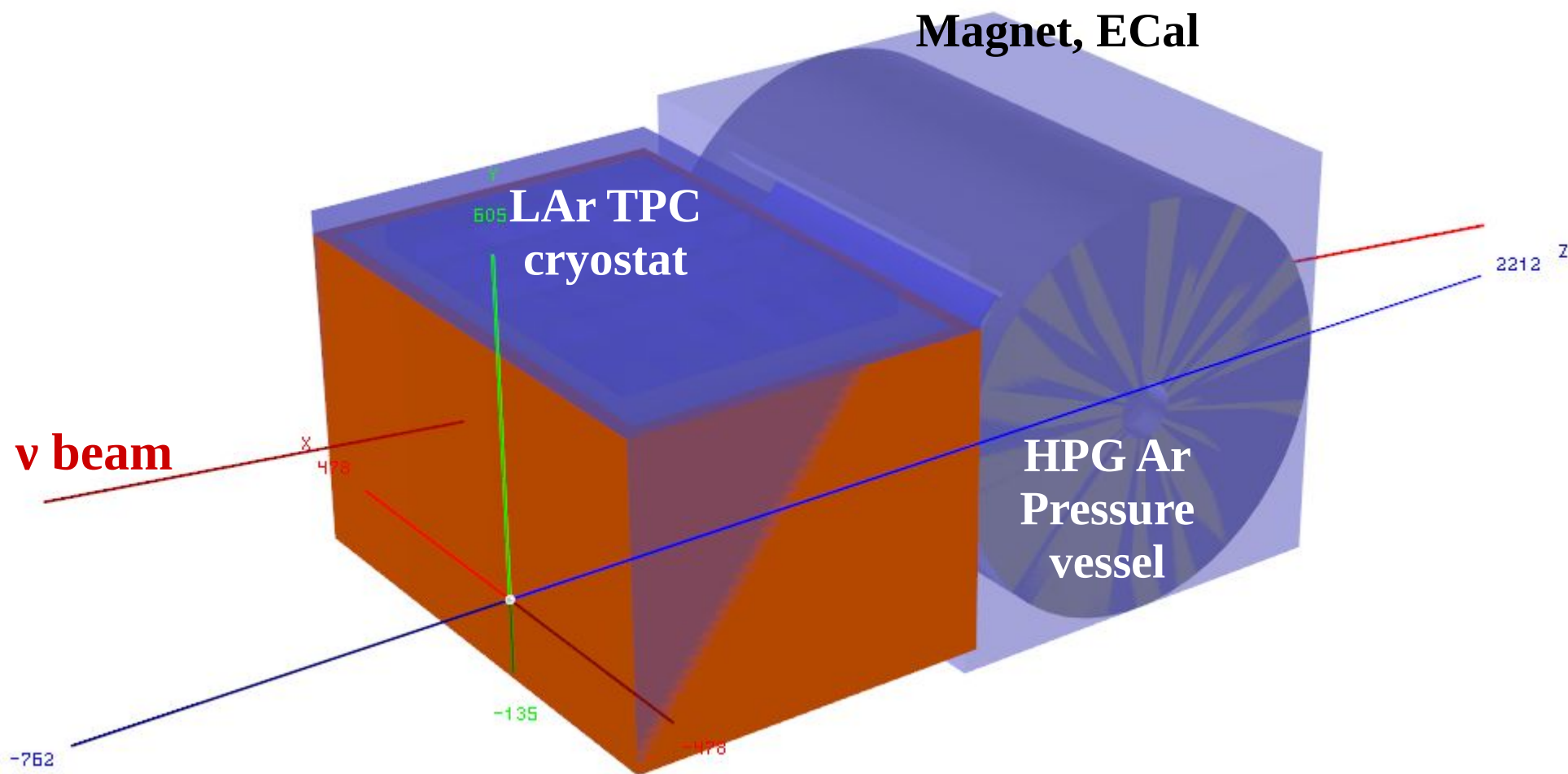


$\nu_\mu$  disappearance

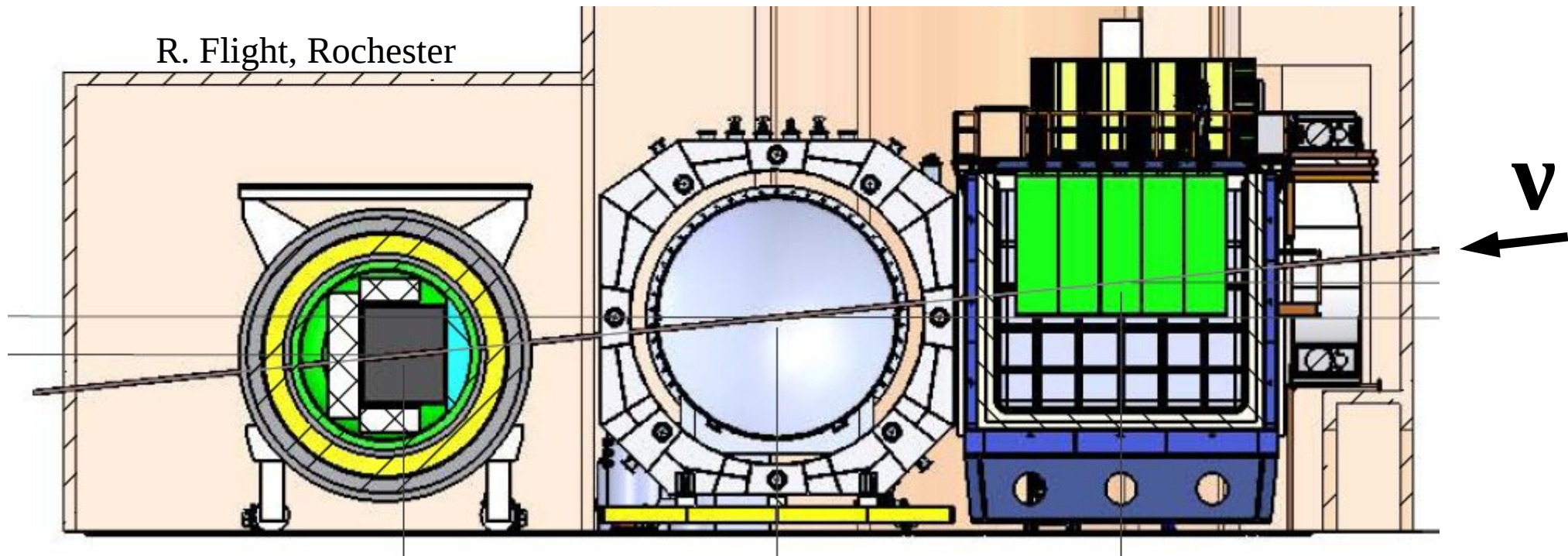


$\nu_e$  disappearance

# ND samples for TDR LBL analysis use an outdated geometry

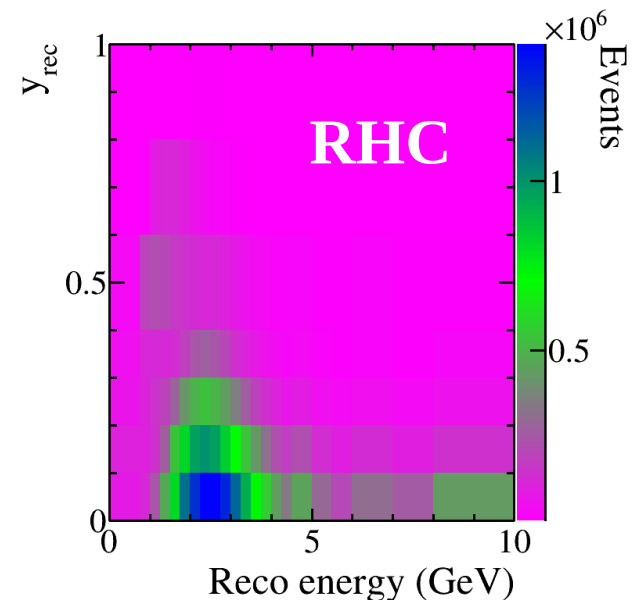
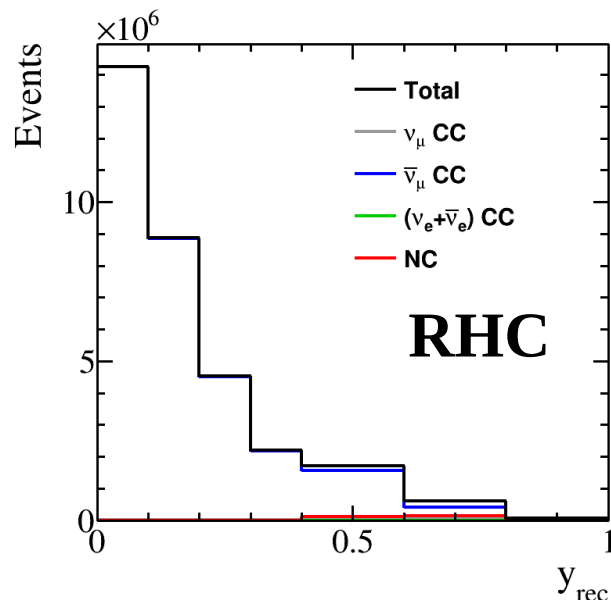
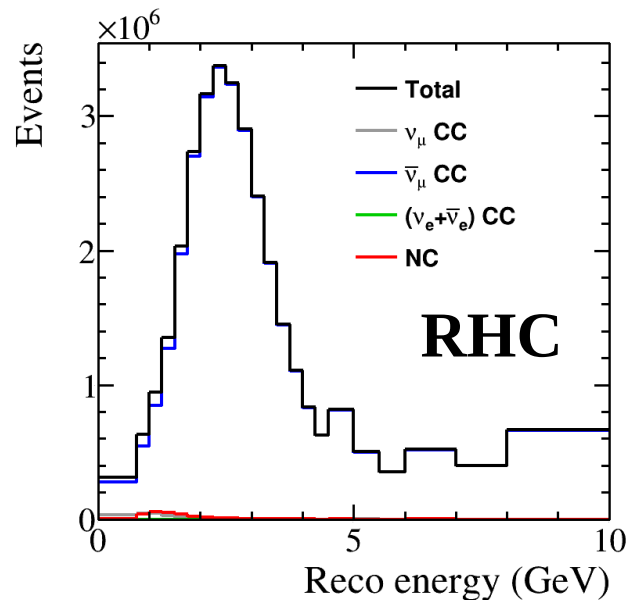
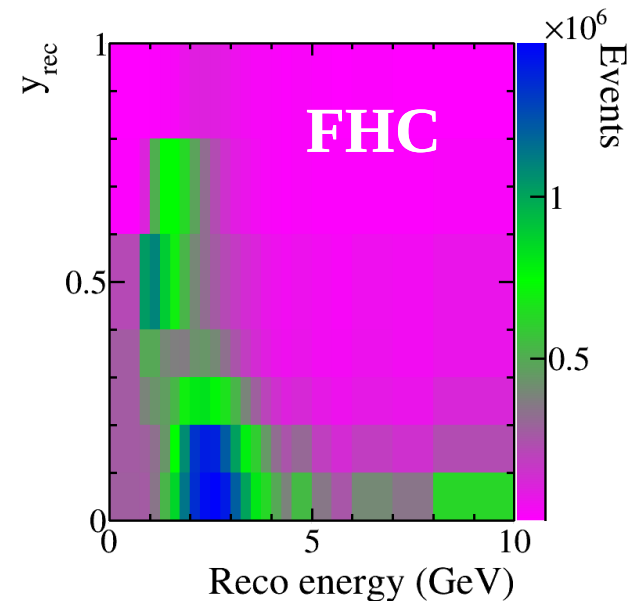
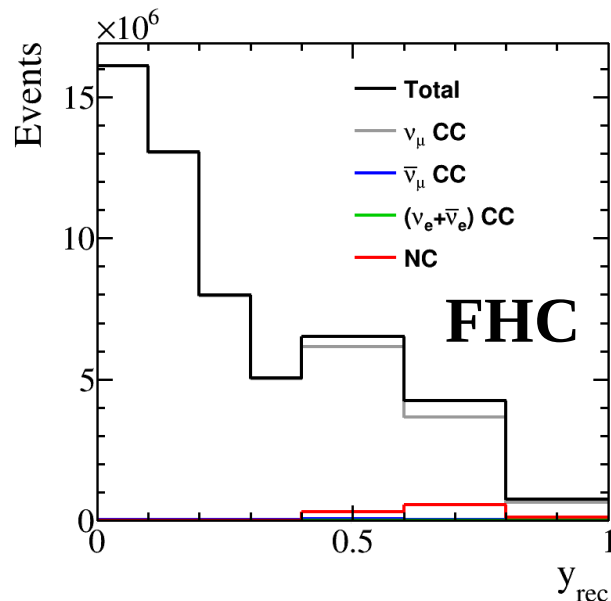
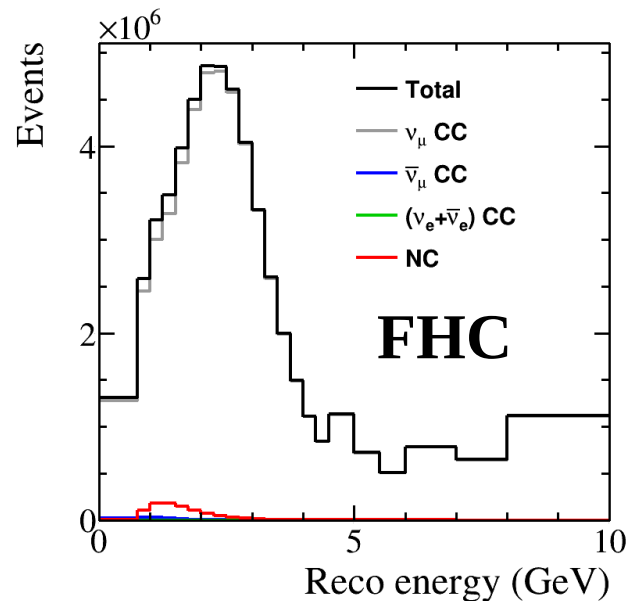


# Current simulations use the updated geometry



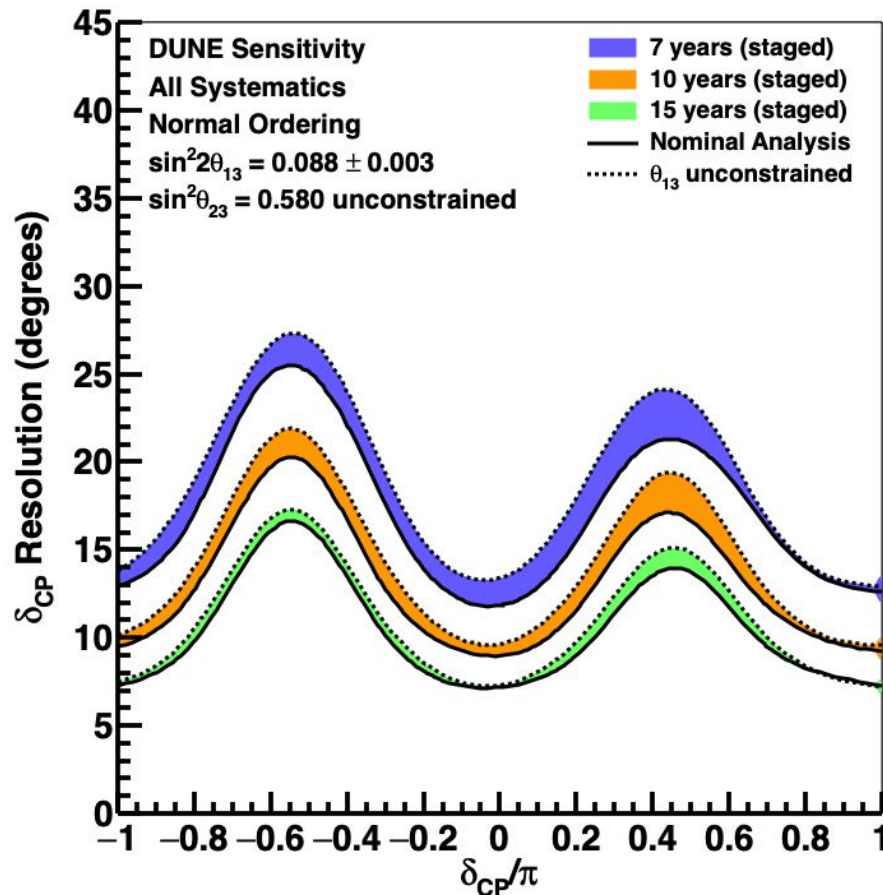
- TDR: parameterized reconstruction of LAr samples using LAr + HPgTPC detectors
- Moving forward: full simulation+reconstruction, directly incorporate HPgTPC(+ECAL+ $\mu$ ID) + SAND samples

# TDR analysis ND samples: CC inclusive binned in 2D





# TDR analysis was successful!

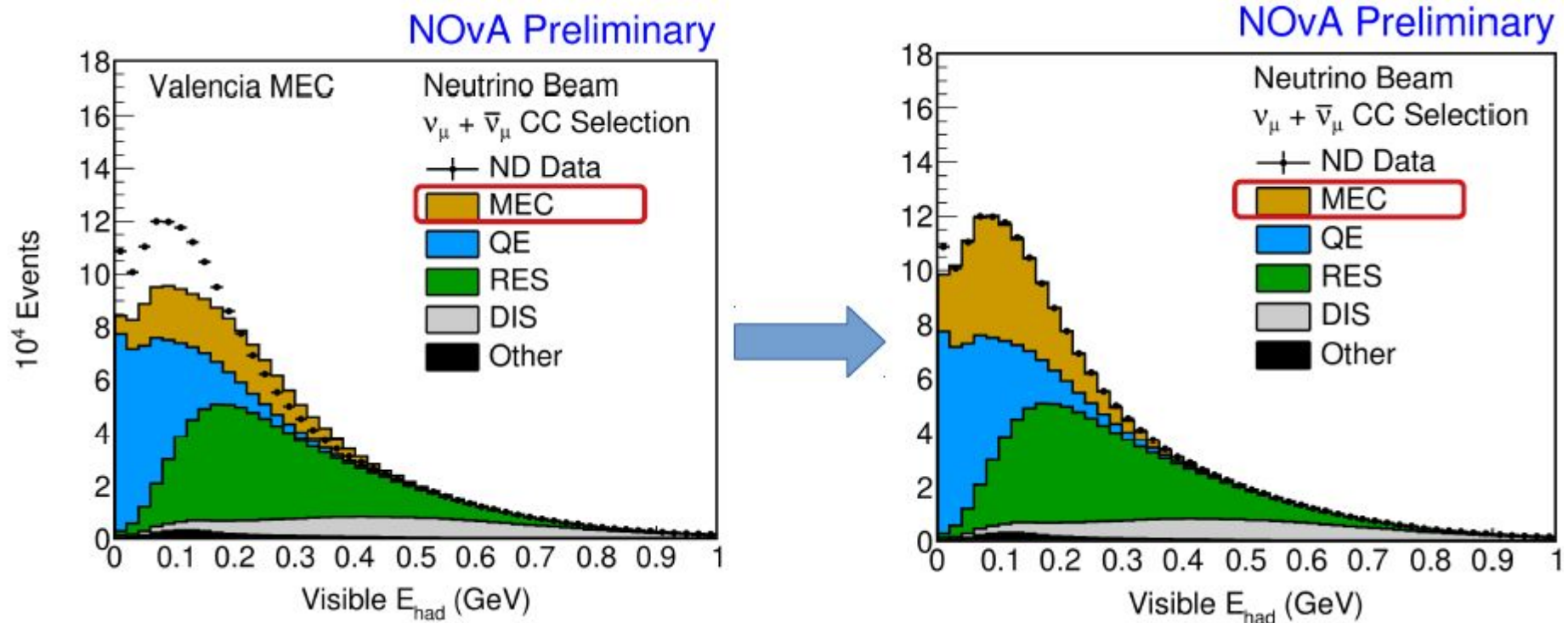


- Produced full suite of oscillation sensitivity results from an end-to-end analysis with full reconstructed FD samples, explicit ND constraints, and realistic systematics
- This was a ton of work and a huge accomplishment

# Limitations

- Uses a single ND sample – not practical to directly implement dozens of possible selected samples in LAr, GAr, 3DST
- Implicitly assumes that interaction and detector models are correct and describe the data, up to the included uncertainties – not the experience of every experiment ever
- Very difficult to describe shape uncertainties – most “knobs” have a very particular shape in some kinematic space, and with enough statistics the ND can “measure” the correct value

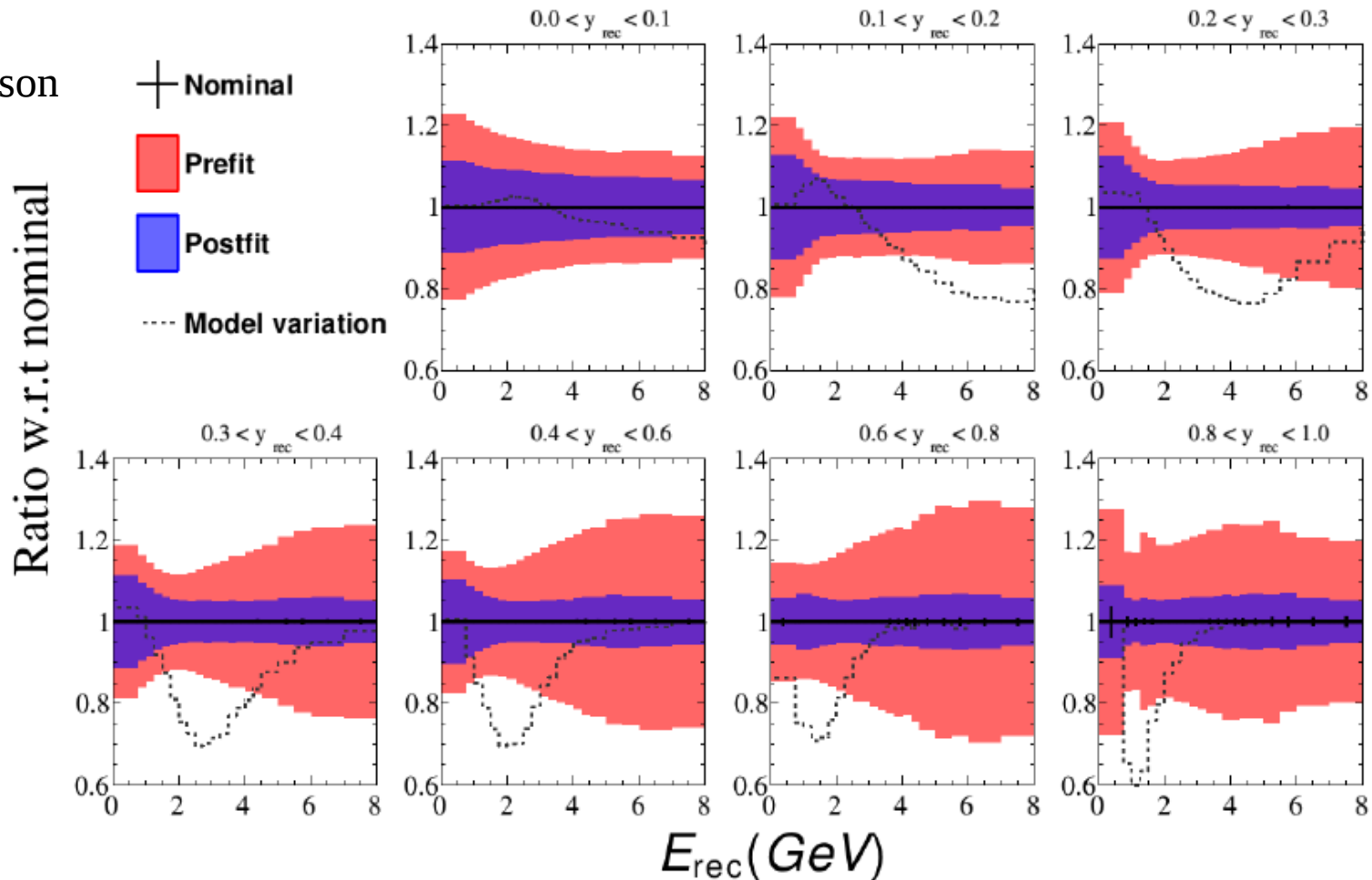
# How it works in experiments



- ND data will **not** be described by our model
- We will modify our model to describe the ND data in many different projections, and add systematic uncertainties for the many different ways this can be done

# Example: MK single pion

C. Wilkinson



- ▶ Easy to see why this on/off dial (MK SPP reweight) is simply resolved by the ND... it simply knows whether it's on or off.

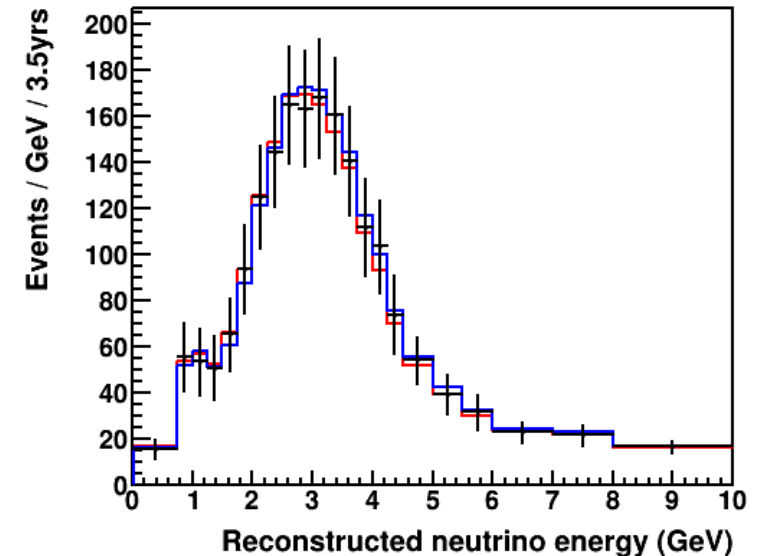
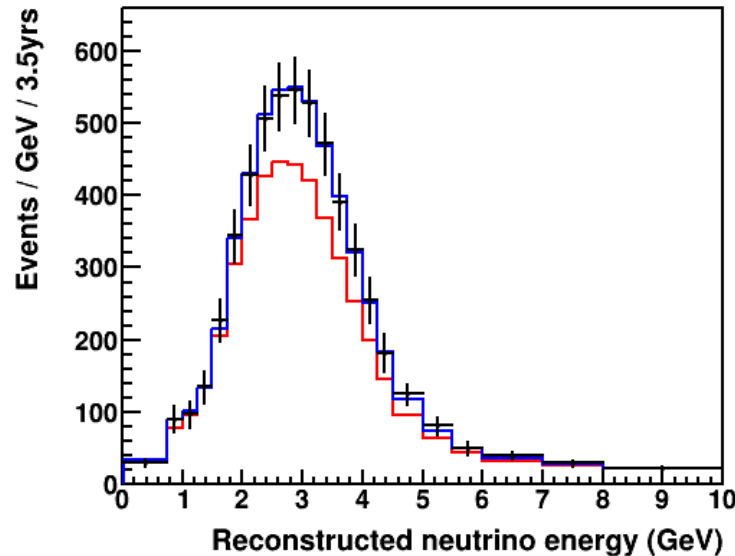
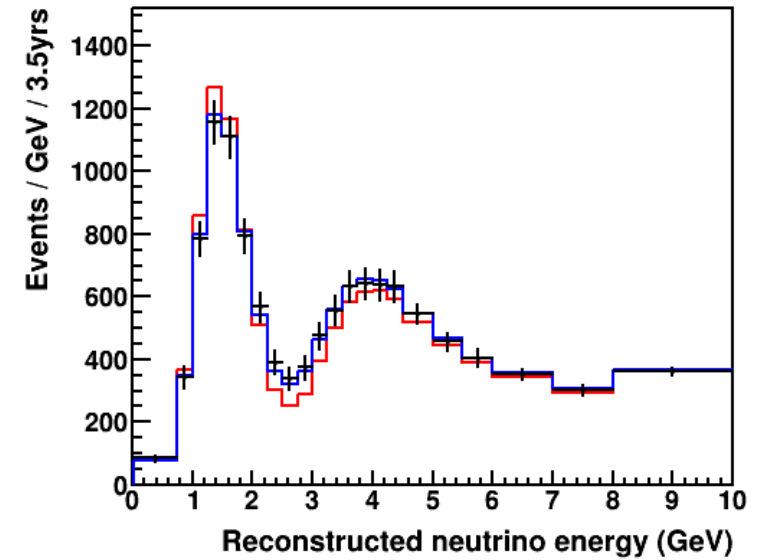
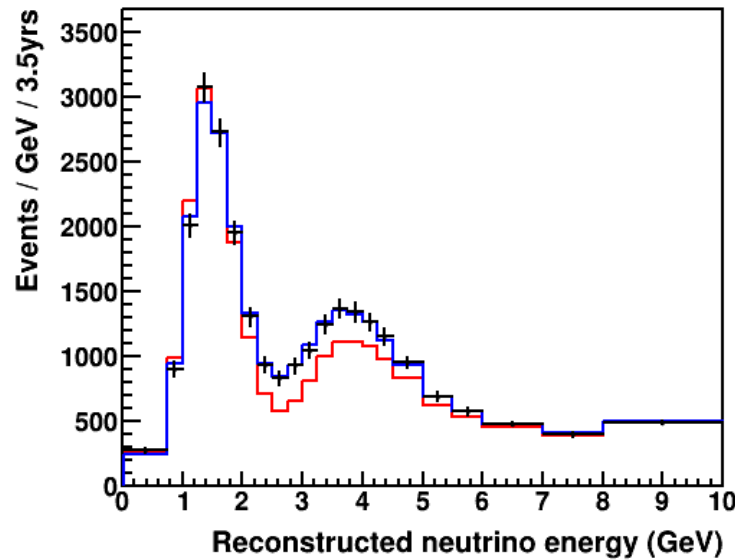
# Bias studies with mock data

- Consider alternative MC “mock data” samples, and evaluate potential bias on analysis
  - “NuWro mock data”, where a BDT is trained to generate event weights to make GENIE reproduce NuWro prediction in 18 kinematic quantities
  - “Missing proton energy”, where 20% of proton energy is removed (i.e. converted to unobserved neutrons), and cross sections are adjusted so that on-axis hadronic energy spectrum is unchanged



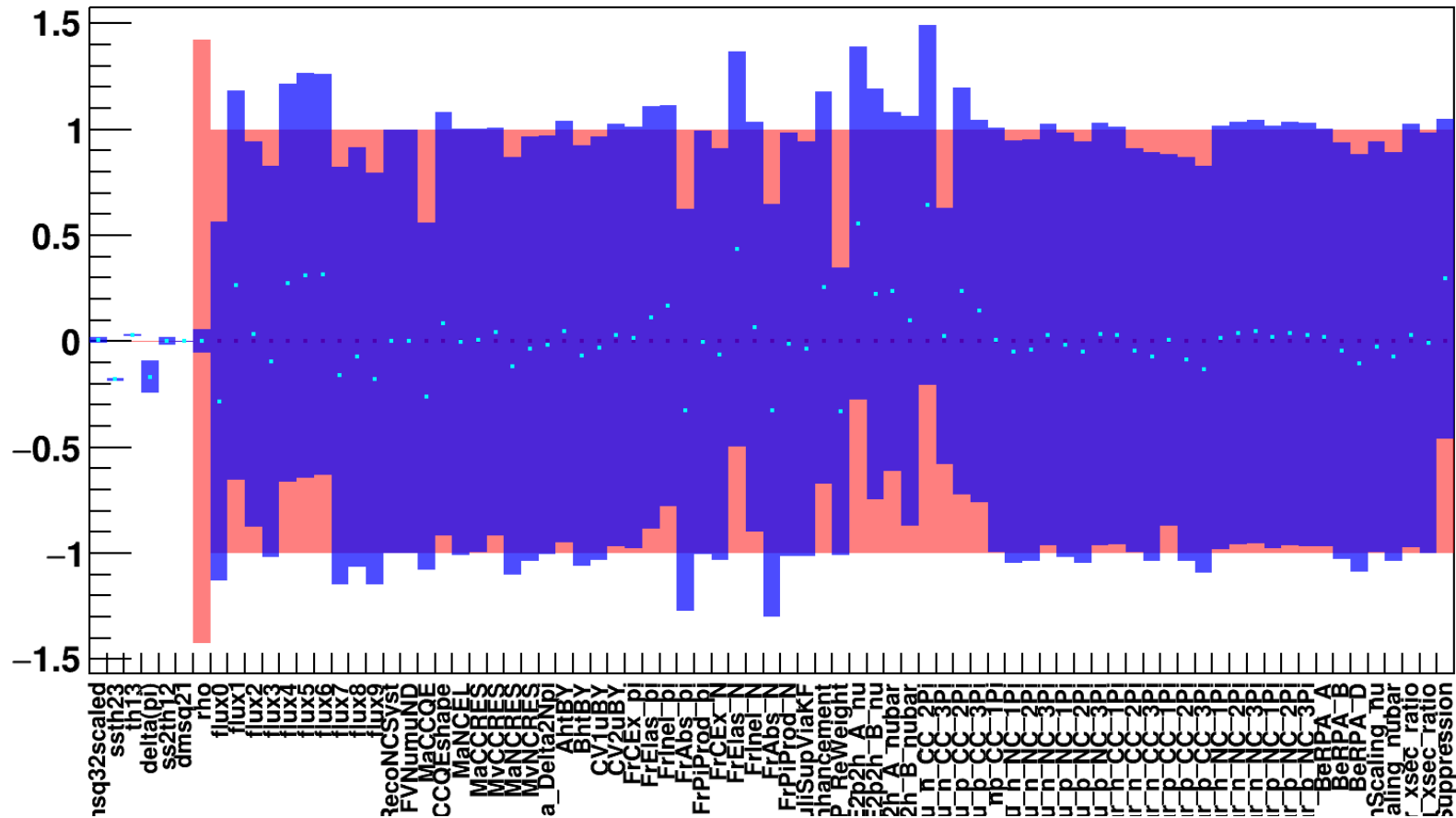
# FD-only fits

- FD-only we get very good fit, with  $\chi^2 \sim 10$
- No evidence of any problems with model



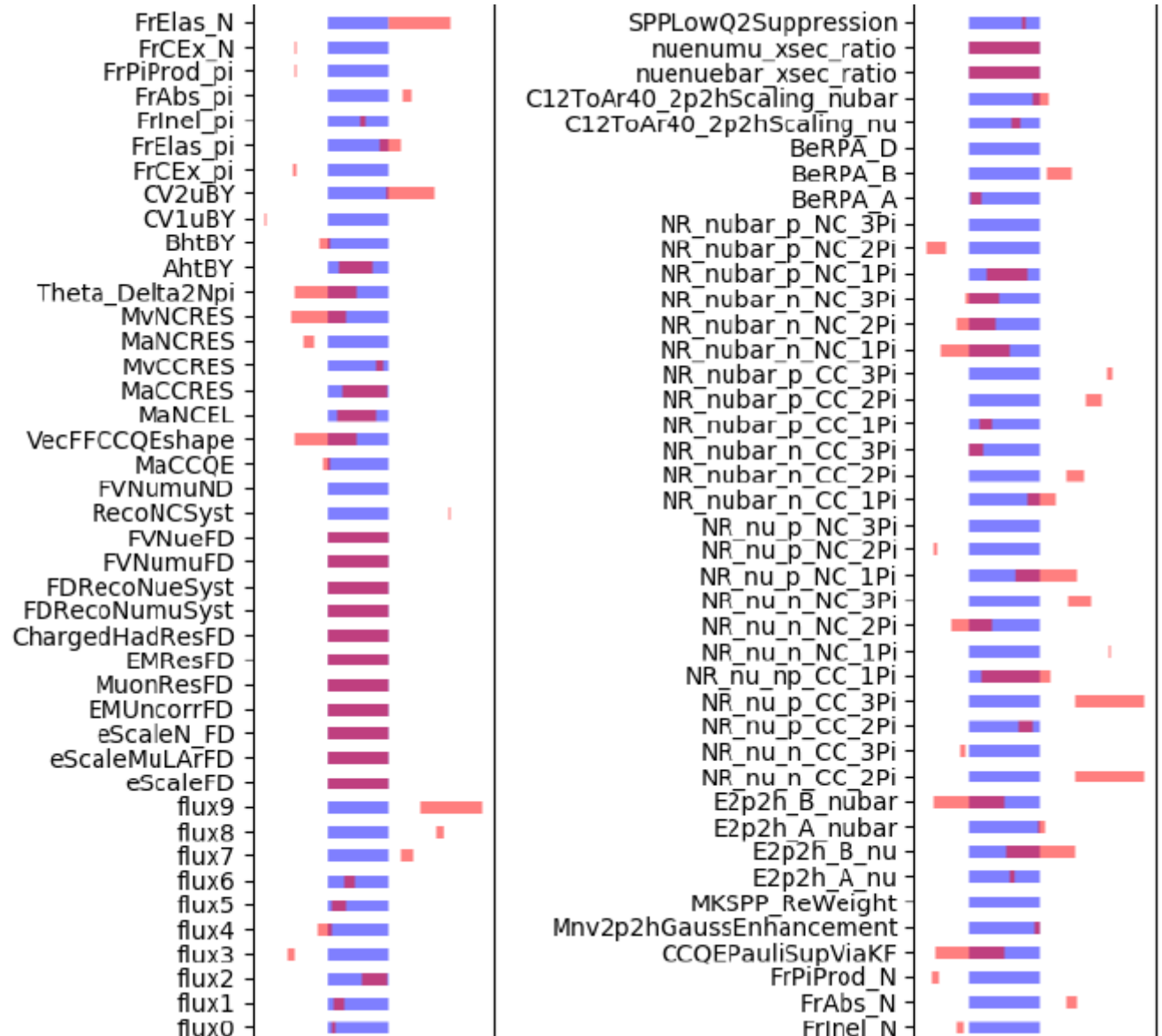
# FD-only nuisance parameter post-fits are $< 0.5\sigma$ of pre-fit values

$$\delta = 0.33\pi$$



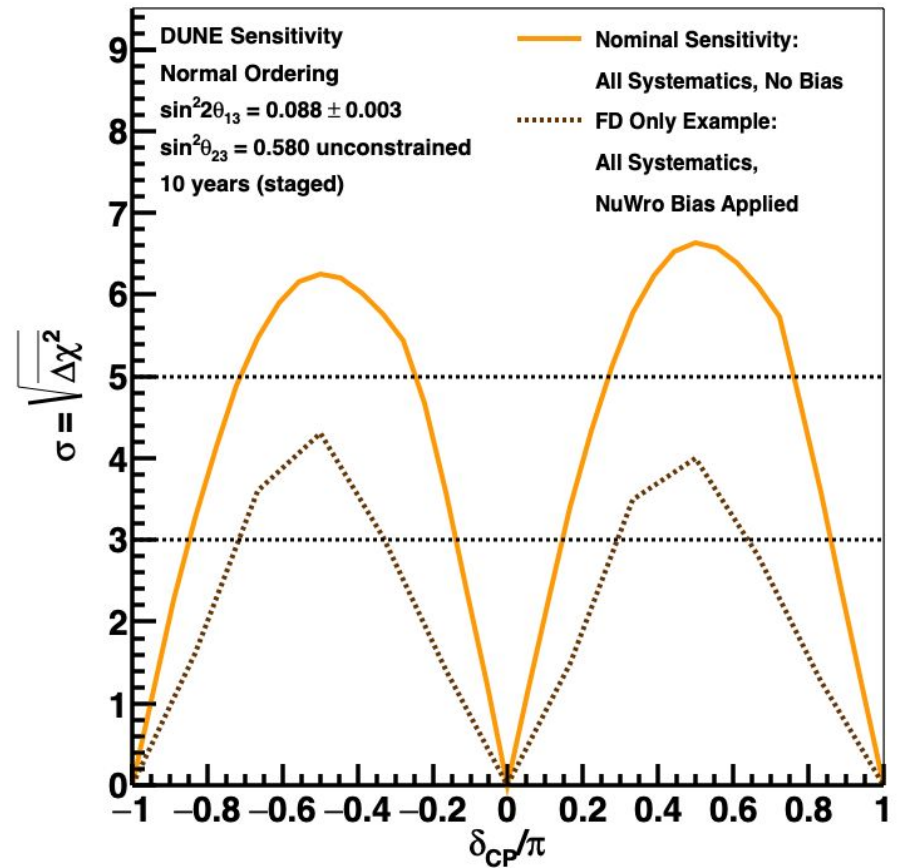
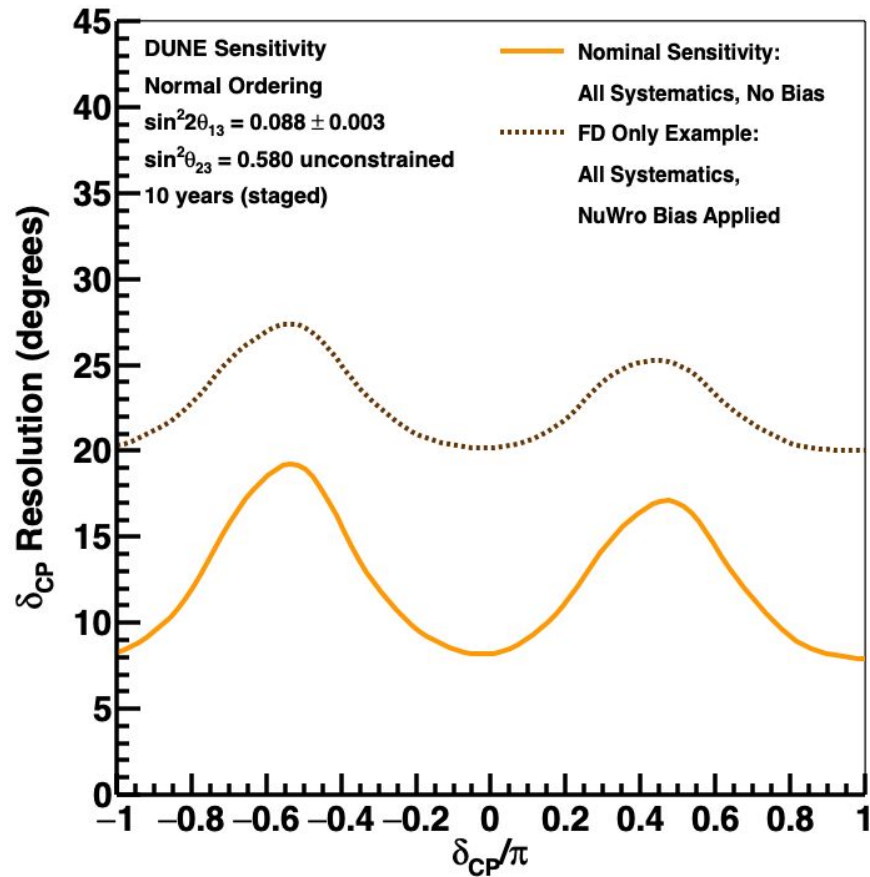
# ND+FD fit $\chi^2 = 10879.2$

- Post-fit parameter uncertainties are shown as red bands
- Parameters get pulled way outside their pre-fit ranges, with tiny constraints
- Fit to ND data is terrible – we would definitely know there is a problem, although we do not yet show how we would fix it

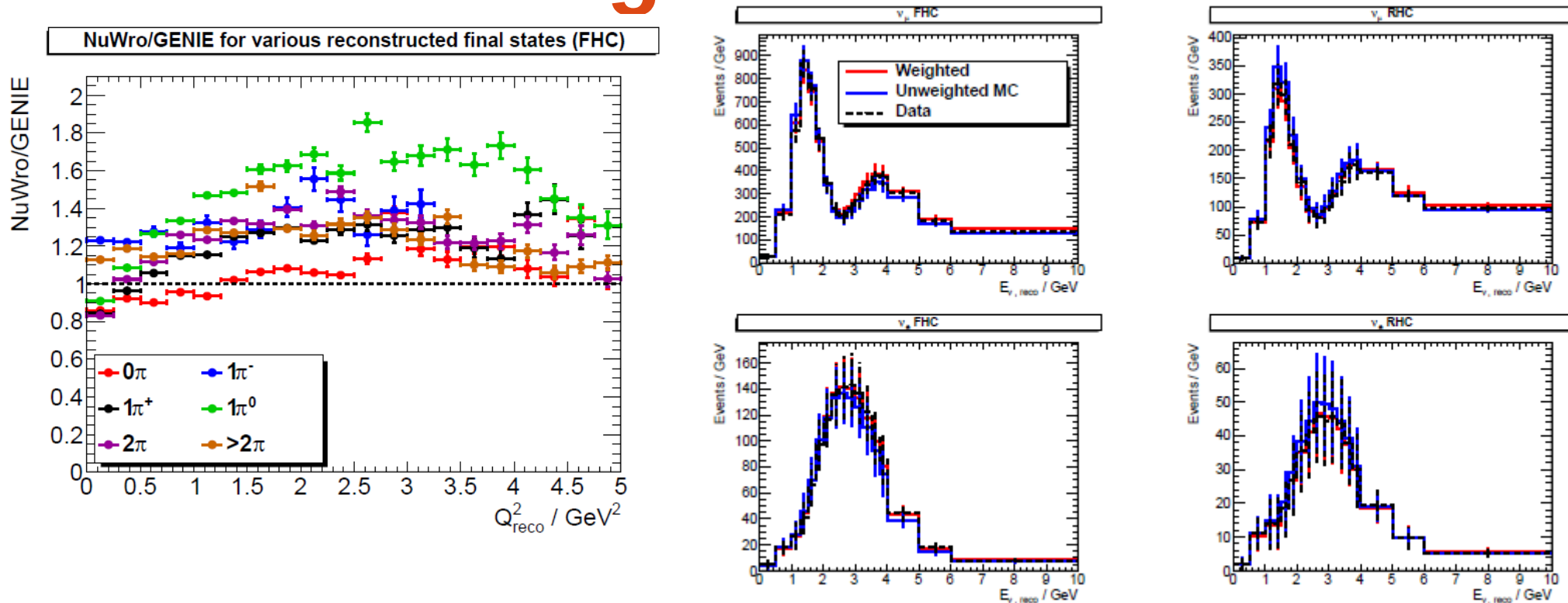


# Sensitivities with bias applied

CP Violation Sensitivity

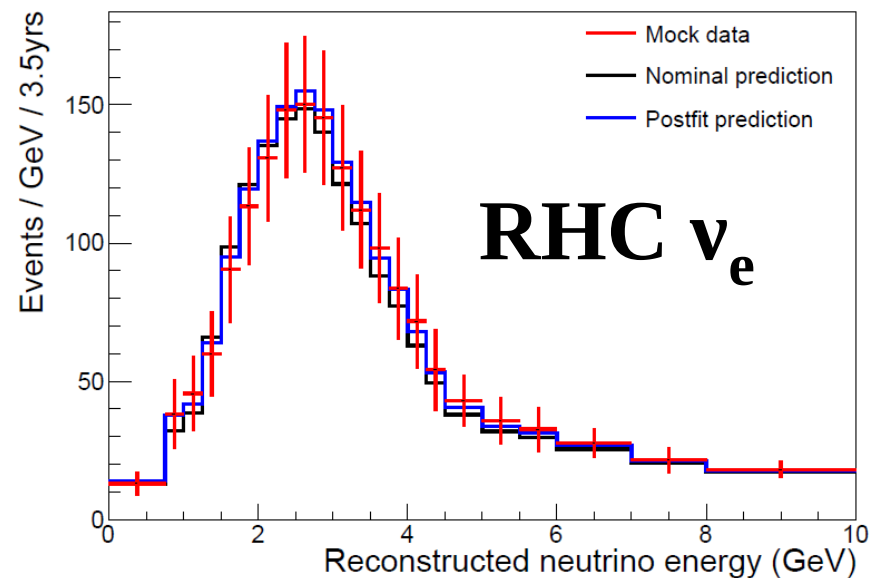
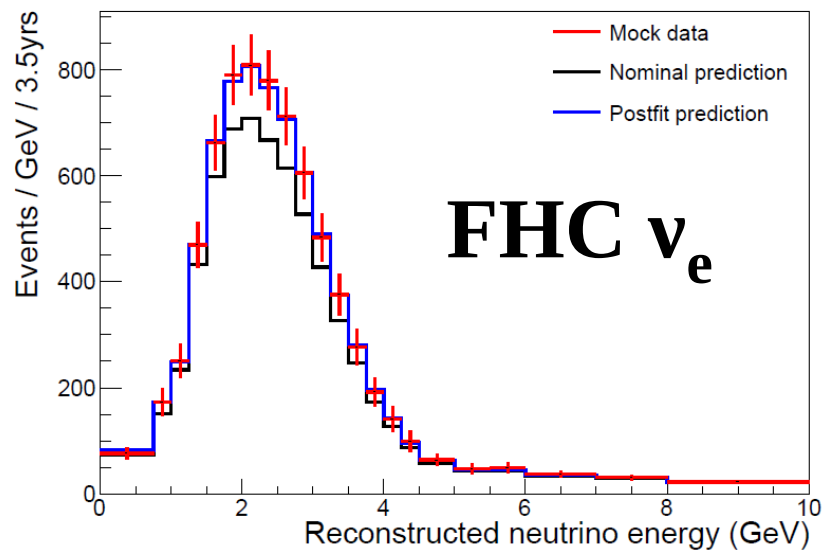
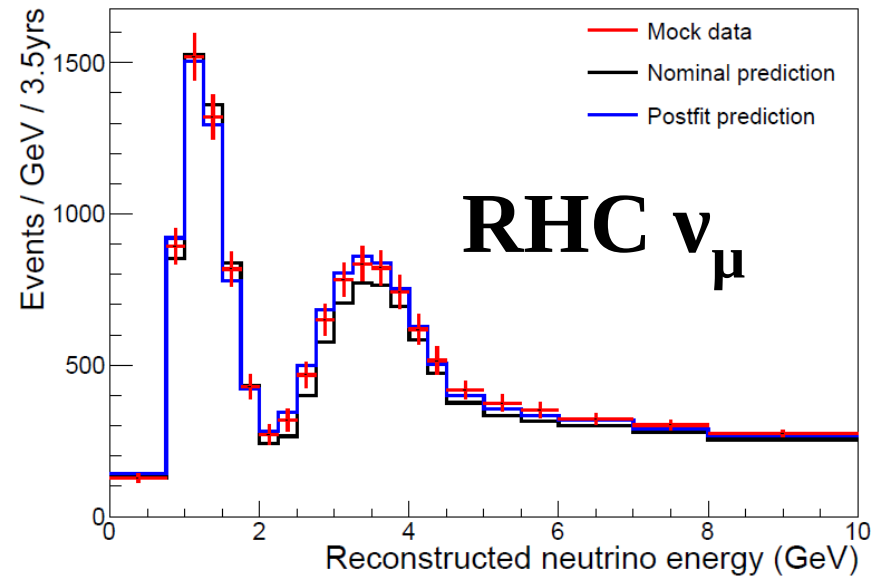
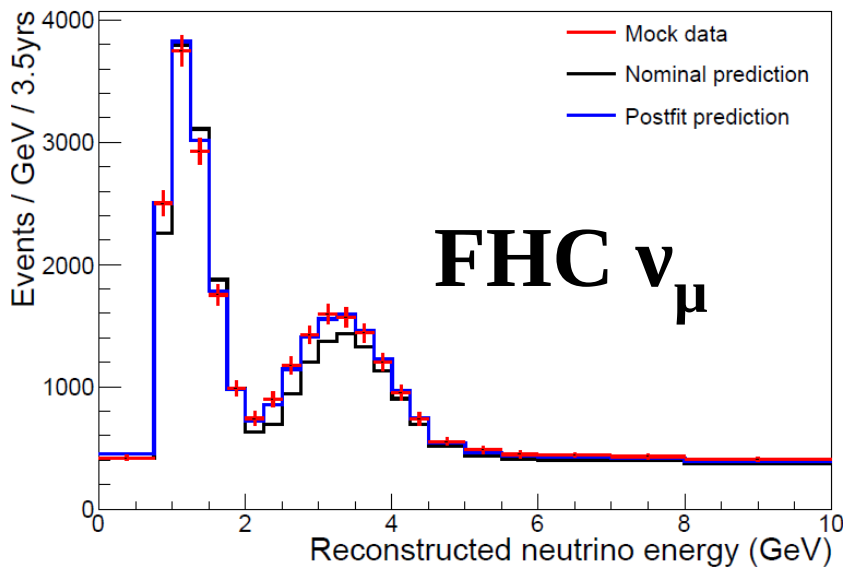


# Reweighting the CV prediction with HPgTPC data



- Derive Data/GENIE ratio for different reconstructed samples by number of pions
- Applying this to the *a priori* MC prediction improves the result

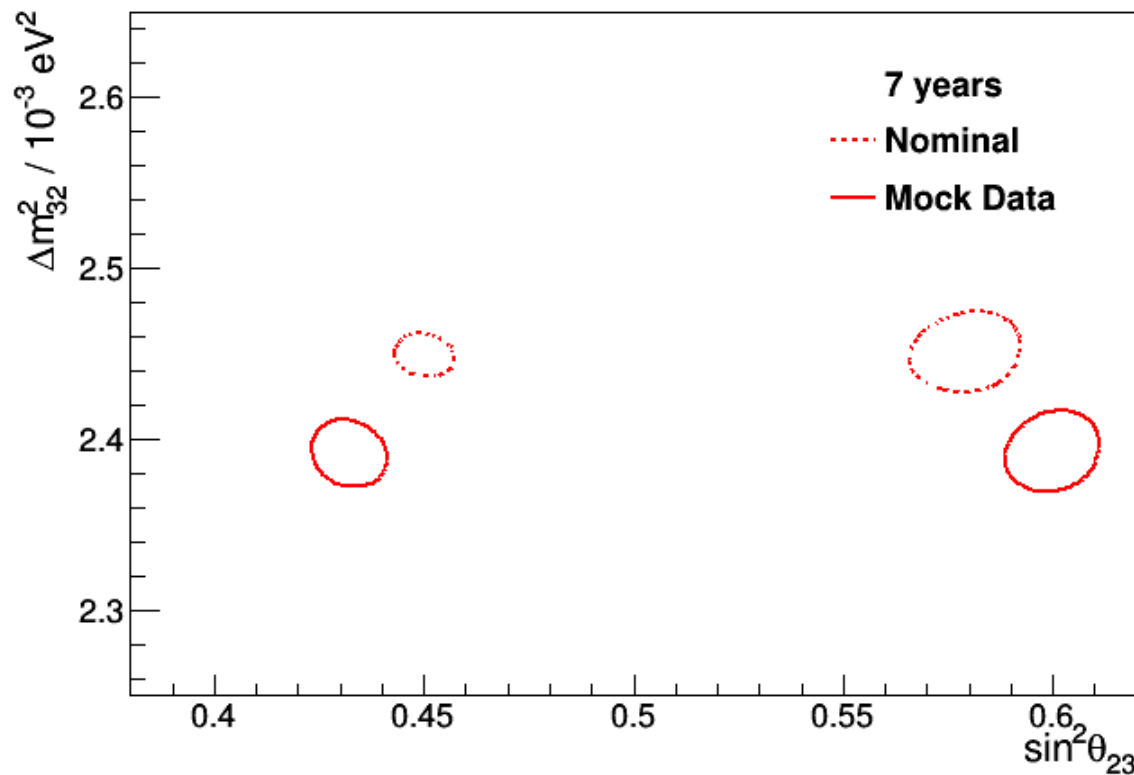
# Missing proton mock data





# Missing proton bias

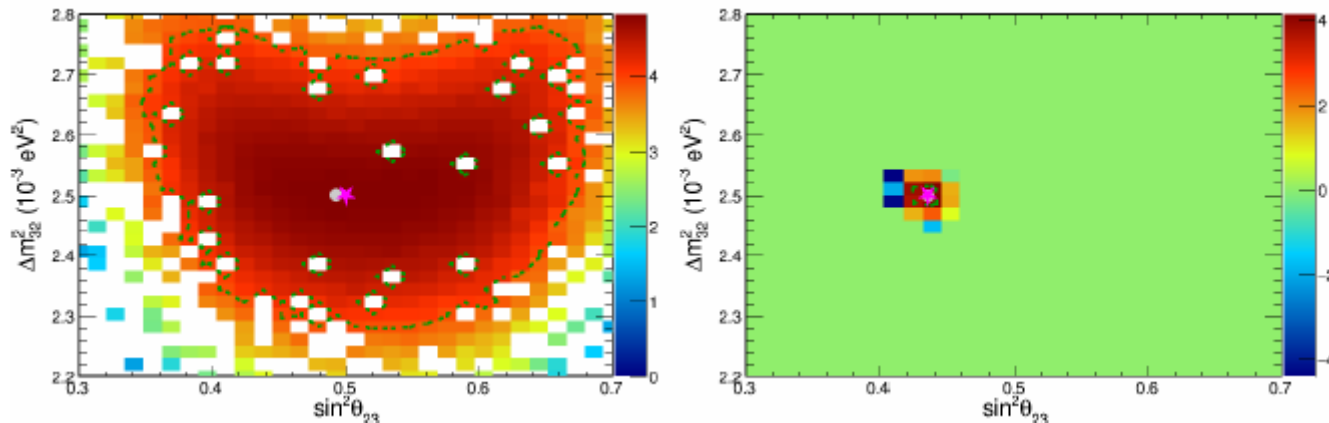
- Best-fit gives significant bias to  $\Delta m^2$  and  $\theta_{23}$ , several sigma outside uncertainties



- Additional uncertainty would be required to cover the bias
- Effect is easily detected with off-axis ND data

# New thread: Markov Chain MC

- Ongoing work on Bayesian analysis using Stan, with initial results looking very promising
- Another similar effort on using Mach3 package developed in T2K
- This approach scales much better as fits become more complicated



# Near-term & Longer-term plans

- Near-term: Near detector IDR & TDR (now-2021)
  - Continue to explore ND constraints with mock data
- Longer-term:
  - Incorporate fully-reconstructed ND samples
  - Include additional LAr, HPgTPC, SAND constraints
  - Pursue alternate analysis approaches, such as MCMC
  - Other ideas?

# Backups

# GENIE ReWeight

MaCCQE  
VecFFCCQEshape  
CCQEPauliSupViaKF  
MaNCEL  
MaCCRES  
MvCCRES  
MaNCRES  
MvNCRES  
Theta\_Delta2Npi  
AhtBY  
BhtBY  
CV1uBY  
CV2uBY  
FrCEX\_pi  
FrElas\_pi  
FrInel\_pi  
FrAbs\_pi  
FrPiProd\_pi  
FrCEX\_N  
FrElas\_N  
FrInel\_N  
FrAbs\_N  
FrPiProd\_N

**GENIE** reweight parameters affecting  
**CC quasi-elastic**  
**CC resonance production**  
**CC deep inelastic scattering**  
**Final-state interactions**  
Neutral currents

# DUNEint not covered in GENIE

## Additional parameters:

CC QE

CC Resonance

2p2h

Scaling C  $\rightarrow$  Ar

$v_e/v_\mu$  or  $v_e/\bar{v}_e$

Mnv2p2hGaussEnhancement

MKSPP\_ReWeight

E2p2h\_A\_nu

E2p2h\_B\_nu

E2p2h\_A\_nubar

E2p2h\_B\_nubar

BeRPA\_A

BeRPA\_B

BeRPA\_D

C12ToAr40\_2p2hScaling\_nu

C12ToAr40\_2p2hScaling\_nubar

nueuebar\_xsec\_ratio

nuenumu\_xsec\_ratio

SPPLowQ2Suppression

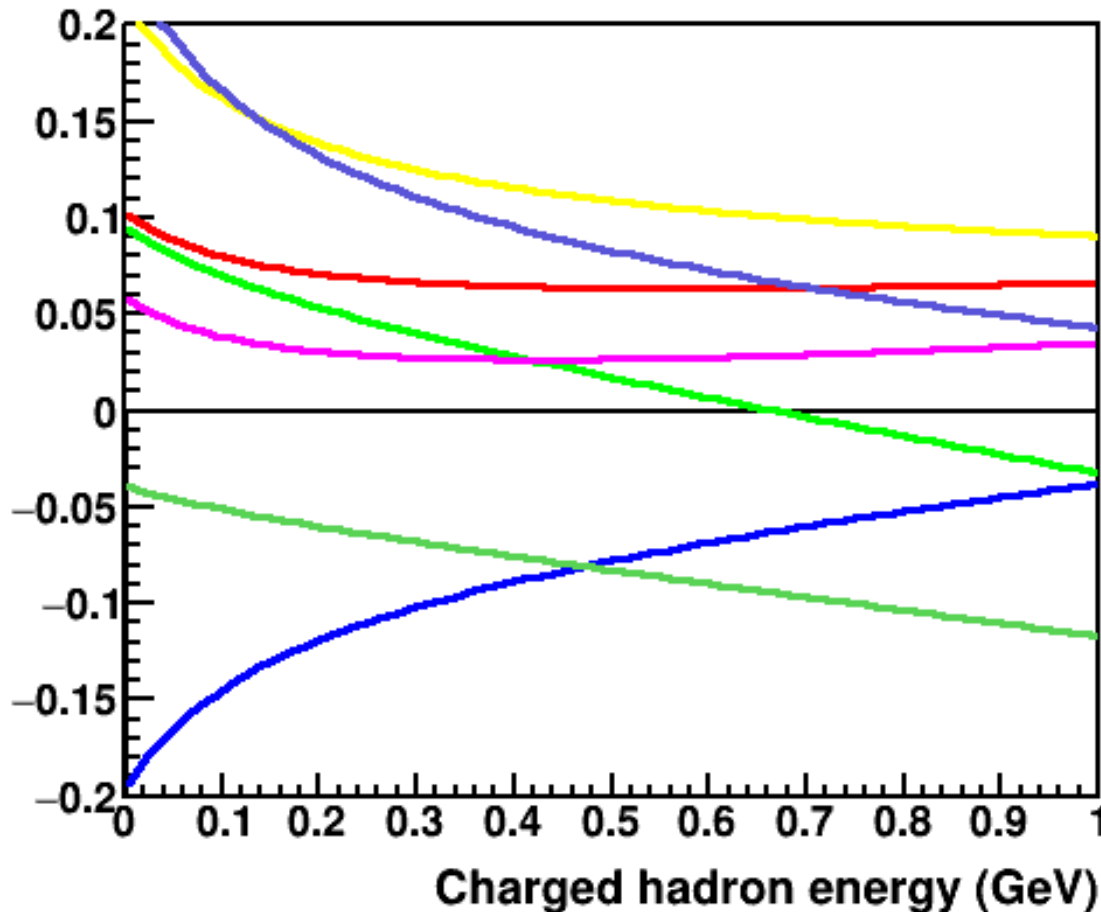


# DUNEint not covered in GENIE

## Additional parameters affecting non-resonant pion production

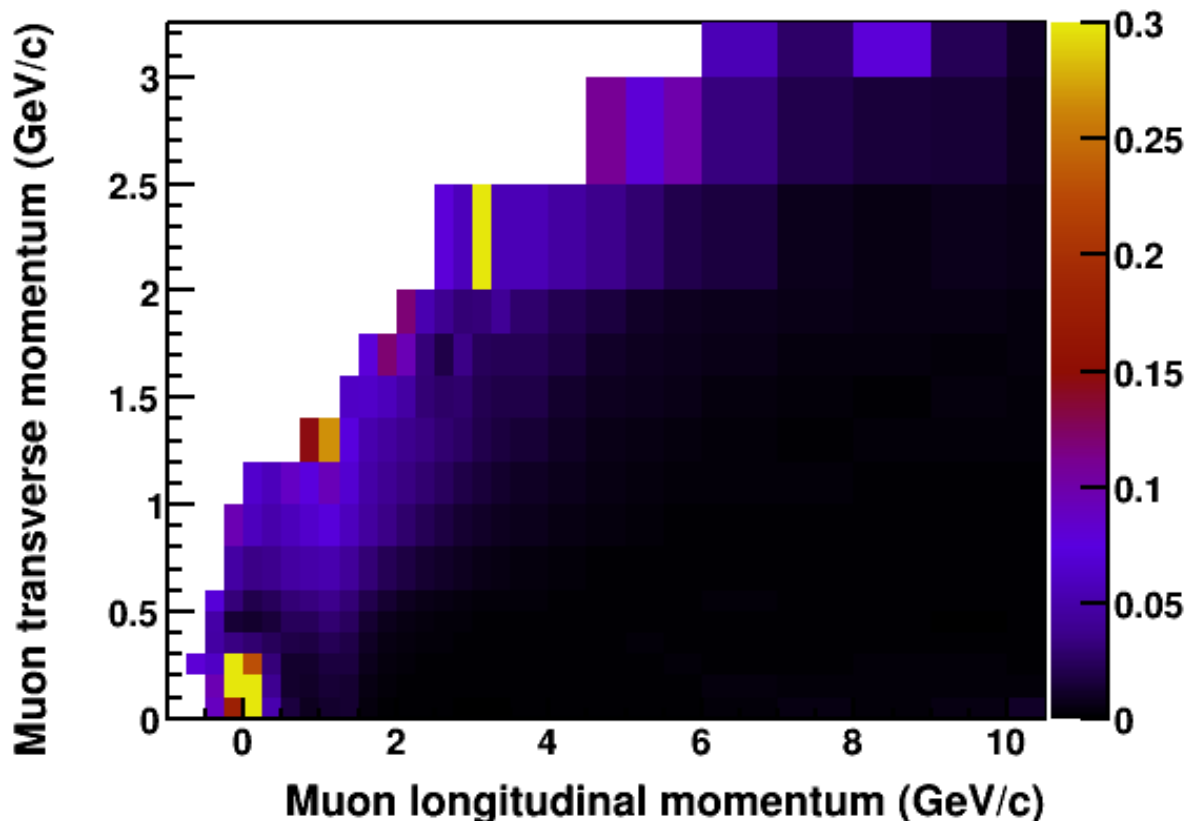
NR\_nu\_n\_CC\_2Pi  
NR\_nu\_n\_CC\_3Pi  
NR\_nu\_p\_CC\_2Pi  
NR\_nu\_p\_CC\_3Pi  
NR\_nu\_np\_CC\_1Pi  
NR\_nu\_n\_NC\_1Pi  
NR\_nu\_n\_NC\_2Pi  
NR\_nu\_n\_NC\_3Pi  
NR\_nu\_p\_NC\_1Pi  
NR\_nu\_p\_NC\_2Pi  
NR\_nu\_p\_NC\_3Pi  
NR\_nubar\_n\_CC\_1Pi  
NR\_nubar\_n\_CC\_2Pi  
NR\_nubar\_n\_CC\_3Pi  
NR\_nubar\_p\_CC\_1Pi  
NR\_nubar\_p\_CC\_2Pi  
NR\_nubar\_p\_CC\_3Pi  
NR\_nubar\_n\_NC\_1Pi  
NR\_nubar\_n\_NC\_2Pi  
NR\_nubar\_n\_NC\_3Pi  
NR\_nubar\_p\_NC\_1Pi  
NR\_nubar\_p\_NC\_2Pi  
NR\_nubar\_p\_NC\_3Pi

# Example energy scale uncertainty: charged hadron response



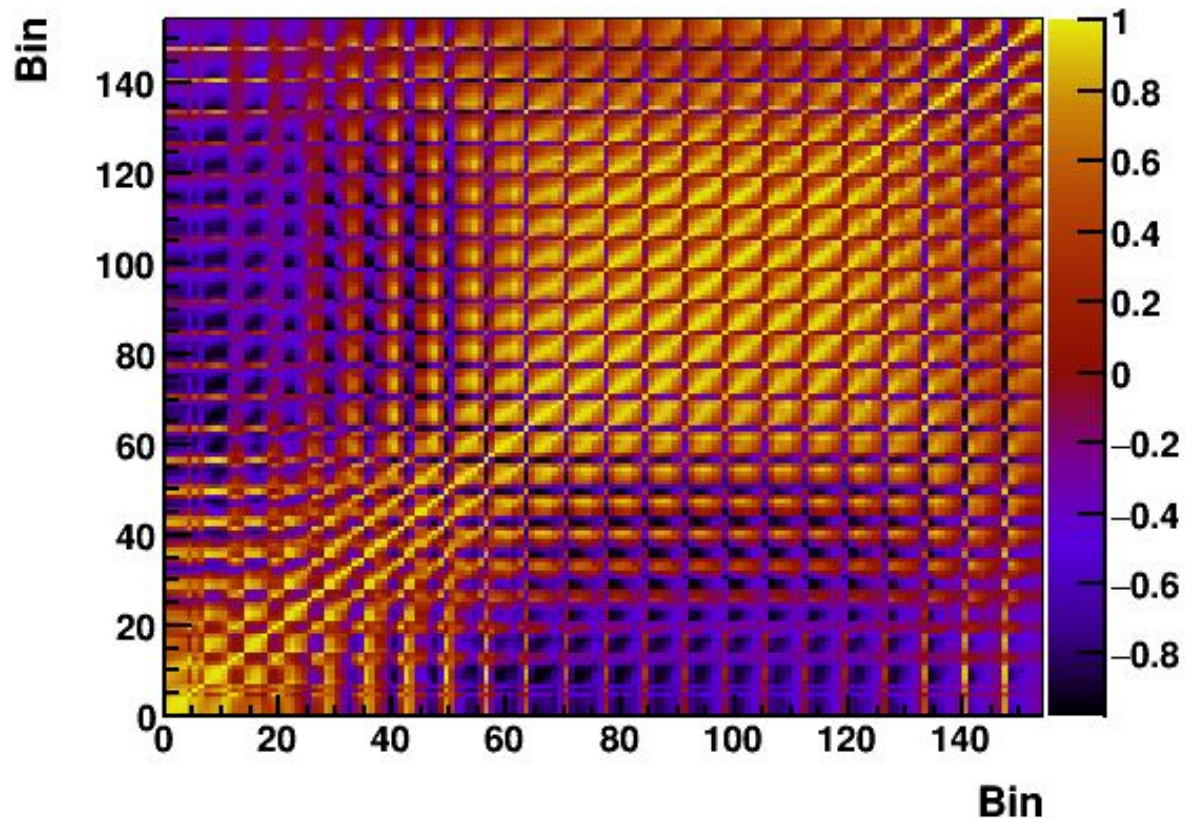
- Each curve represents the energy response bias in a particular universe, where the parameters have been chosen randomly consistent with the energy-dependent uncertainty

# ND CC $\nu_\mu$ acceptance fractional uncertainty



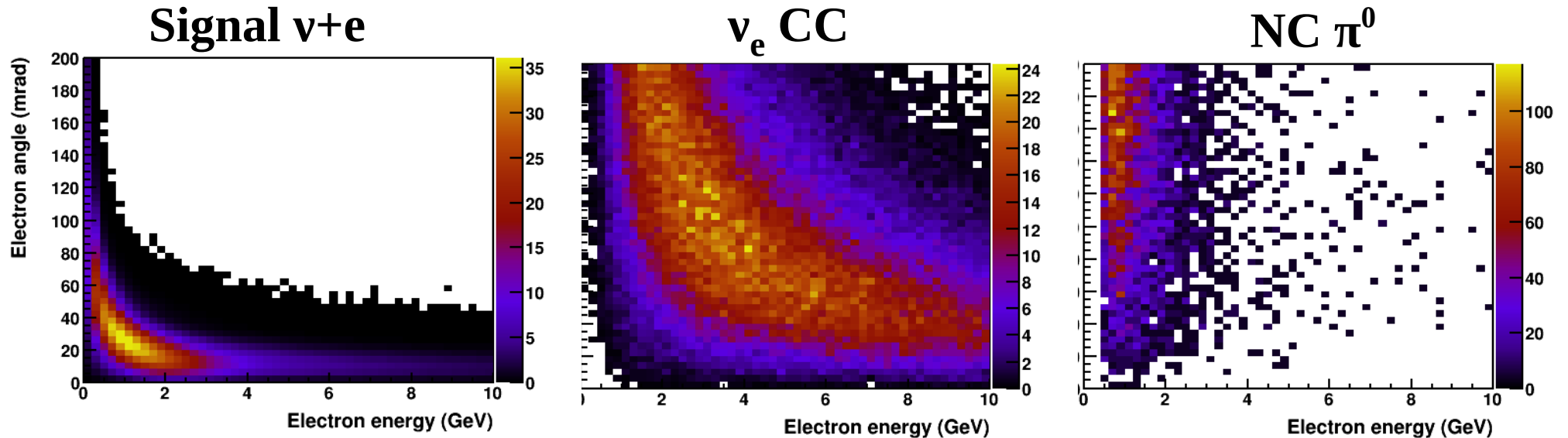
- CC events are rejected when
  - Muon is reconstructed as  $\pi^\pm$  (low energy)
  - Muon exits sides
  - Muon exits downstream but does not enter gas TPC
- Acceptance is sensitive to detector modeling in phase space where muon acceptance is rapidly changing
- Uncertainty is evaluated as a function of muon momentum in transverse and neutrino direction (equivalently, energy and angle)

# The actual matrix, in the analysis 2D binning



- The ND binning in the fit is two-dimensional in  $E_\nu$  and  $y$ , so the full covariance matrix includes this full binning

# Additional LAr sample: $\nu+e$ scattering



- Pure EW process with known cross section  $\rightarrow$  sensitive to flux only
- Signal is subject to kinematic constraint  $E_e \theta_e^2 < 2m_e$
- Dominant background is  $\nu_e$  CC at low  $Q^2$
- Signal and background samples are ready, but have yet to be included in fit